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## One-loop renormalization in a toy model of Hořava-Lifshitz gravity

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- General relativity is perturbatively non-renormalizable. String theory?  
Asymptotic safety scenario?
- Power-counting renormalizability can be reconciled with unitarity at the expenses of a scale anisotropy between space and time. Hořava-Lifshitz gravity.
- Not much is known about the behaviour Hořava-Lifshitz gravity in the UV. Difficulties coming from the large number of invariants and working on an anisotropic curved background.

- General relativity is power-counting non-renormalizable in  $d > 2$ .  $[G] = 2 - d$ .
- Higher-derivative theories can cure the perturbative non-renormalizability, but they suffer from a lack of unitarity.

$$S[g_{\mu\nu}]_{hd} = -\frac{1}{16\pi G} \int d^d x \sqrt{g} \{R - \gamma R^2 - \beta R_{\mu\nu} R^{\mu\nu} - 2\Lambda\}, \quad (1)$$

- The propagator of spin-2 modes contains a 'poltergeist' which spoils unitarity.

$$\Pi(p) = \frac{1}{p^2 - \beta G p^4}, \quad p_{ghost}^2 = (\beta G)^{-1}. \quad (2)$$

- Power-counting renormalizability can be obtained by means of anisotropic scale dimensions for space and time coordinates, emulating what happens in condensed matter.

$$[\mathbf{x}] = -1, \quad [t] = -z, \quad [\partial_{\mathbf{x}}] = 1, \quad [\partial_t] = z. \quad (3)$$

- As an example, for a Lifshitz scalar field theory we have

$$S[\phi] = \int dt d^d \mathbf{x} \left\{ -\phi(t, \mathbf{x}) (\partial_t^2 - G \partial_{\mathbf{x}}^{2z}) \phi(t, \mathbf{x}) \right\}. \quad (4)$$

- The propagator of the Lifshitz scalar field is

$$\frac{1}{\omega^2 - G(\mathbf{k}^2)^z}, \quad (5)$$

and a term  $-c^2 \partial_{\mathbf{x}}^2$  in the action acts now (for  $z > 1$ ) as a relevant perturbation.

- Introducing a scale anisotropy we can gain perturbative-power counting and unitarity, but we lose Lorentz invariance.

Invariance under diffeomorphisms is substituted by the invariance under a foliation-preserving diffeomorphisms, i.e. the reparameterization

$$\tilde{x}^i = \tilde{x}^i(x^j, t), \quad \tilde{t} = \tilde{t}(t). \quad (6)$$

- We have to build invariants under the new symmetry group.  
We employ the ADM decomposition, with  $g_{ij}$  the spatial metric,  $N_i$  the shift vector and  $N$  the lapse function.
- A natural spacetime topology in presence of a foliation  $\mathcal{F}$  is

$$\mathcal{M} = \mathcal{N} \times \Sigma. \quad (7)$$

where the leaf  $\Sigma$  is a generic  $d$ -dimensional manifold. We will consider  $\mathcal{N} = \mathbb{R}$ .

- A kinetic action in  $d + 1$  dimensions reads

$$S_K[N, N^i, g_{ij}] = \frac{2}{\kappa^2} \int dt d^d \mathbf{x} \sqrt{g} N \left( K_{ij} K^{ij} - \lambda K^2 \right), \quad (8)$$

being

$$K_{ij} = \frac{1}{2N} (\partial_t g_{ij} - D_i N_j - D_j N_i), \quad (9)$$

where  $D_i$  is the spatial covariant derivative.

- At this stage the dynamical critical exponent  $z$  enters only in the dimension of the integration measure

$$[dt d^d \mathbf{x}] = -d - z. \quad (10)$$

- As a consequence, Newton's constant  $\kappa^2$  is a marginal parameter for  $z = d$ , since

$$[\kappa] = \frac{z - d}{2}. \quad (11)$$

- We can add a potential term by constructing spatial invariants

$$S[N, N^i, g_{ij}] = S_K[N, N^i, g_{ij}] + \frac{2}{\kappa^2} \int dt d^d \mathbf{x} \sqrt{g} N V(g_{ij}). \quad (12)$$

- In 3+1 dimensions with  $z = 3$  the potential  $V(g_{ij})$  will contain marginal operators like

$$R^3, \quad R^{ij} R_{jk} R^k{}_i, \quad R R_{ij} R^{ij} \quad D^2 R^2 \quad D_k R_{ij} D^k R^{ij} \quad \dots \quad (13)$$

plus additional relevant terms as

$$R^2, \quad R_{ij} R^{ij}, \quad D^2 R \quad D^i D^j R_{ij}, \quad \dots \quad (14)$$

- The number of invariants is reduced if the system features detailed balance, that is, if the  $d + 1$ -dimensional potential is related to a  $d$ -dimensional potential by means of a variational principle.



- The 2 + 1-dimensional case is easier to study, since for  $z = 2$  the potential contains fewer invariants. Furthermore, the Ricci is the only independent component of the Riemann tensor study and there are no gravitons.
- The theory with detailed balance has no potential.
- The most general action (non-projectable and without detailed balance) in 2 + 1 dimensions with  $z = 2$  is

$$S[N, N_i, g_{ij}] = \int dt d^2x N \sqrt{g} \left\{ \frac{2}{\kappa^2} \left( \lambda K^2 - K_{ij} K^{ij} - 2\Lambda + c R + \gamma R^2 \right) + c_1 D^2 R \right. \\ \left. + c_2 a_i a^i + c_3 (a_i a^i)^2 + c_4 R a_i a^i + c_5 a_i a^i D^j a_j \right. \\ \left. + c_6 (D^j a_j)^2 + c_7 (D_i a_j)(D^i a^j) \right\}, \quad (15)$$

where  $a_i$  is the acceleration vector,  $a_i = D_i \log N$ .

We will consider two simplifications:

- **Projectable case**, that is, a constant lapse function over the leaf,  $N \equiv N(t)$ , so that

$$S[N, N_i, g_{ij}] = \frac{2}{\kappa^2} \int dt d^2x N \sqrt{g} \left\{ \lambda K^2 - K_{ij} K^{ij} - 2\Lambda + cR + \gamma R^2 \right\}. \quad (16)$$

- **Conformal reduction**, that is, a scalar toy model in which we integrate only the conformal degree of freedom of the spatial metric,

$$g_{ij} = e^{2\phi(x)} \tilde{g}_{ij}, \quad (17)$$

where  $\tilde{g}_{ij}$  is considered as a constant background. We will chose  $\Sigma = S^2$ .

## Questions?

- We will employ as usual the background field method:

$$g_{ij} \rightarrow g_{ij} + \epsilon h_{ij}; \quad N \rightarrow N + \epsilon n; \quad N_i \rightarrow N_i + \epsilon n_i, \quad (18)$$

and set  $N = 1$  and  $N_j = 0$  for the background lapse and shift.

The perturbative parameter  $\epsilon$  will be set at a later stage.

- The metric fluctuation can be decomposed in a trace and traceless parts as

$$h_{ij} = \hat{h}_{ij} + \frac{1}{2} g_{ij} h, \quad g^{ij} \hat{h}_{ij} = 0. \quad (19)$$

- On a sphere  $S^2$  the traceless part  $\hat{h}_{ij}$  contains just longitudinal components. In our toy model we neglect those contribution and integrate only the trace term.

- We employ as a gauge choices  $n = 0$  and  $n_i = 0$ . The gauge-fixing action reads

$$S_{gf} = \frac{1}{2\alpha^2} \int dt \int d^2x \sqrt{g} n^2 + \frac{1}{2\beta^2} \int dt \int d^2x \sqrt{g} n_i n^i. \quad (20)$$

Taking the limit  $\alpha \rightarrow 0$  and  $\beta \rightarrow 0$  leads to a complete decoupling of  $n$  and  $n_i$  in the second variation of the action.

- For the ghost sector, in order to avoid positivity problems of the Faddeev-Popov operator  $\mathcal{M} = \partial_t$  we will employ the squared root of its determinant,

$\sqrt{\det(-\mathcal{M}^2)}$ , which corresponds to the ghost action

$$S_{gh} = \int dt N \int d^2x \sqrt{g} \left\{ \bar{c} \partial_t^2 c + \bar{c}_i \partial_t^2 c^i + b \partial_t^2 b + b_i \partial_t^2 b^i \right\}, \quad (21)$$

where  $c_i$  and  $c$  Grassmannian complex fields and  $b_i$  and  $b$  real bosonic fields.

- We will focus on evaluating only the one-loop correction to the effective action,

$$\Gamma = S_{tot} + \hbar S^{1-loop} + \mathcal{O}(\hbar^2), \quad (22)$$

being

$$S_{tot} = S + S_{gf} + S_{gh}, \quad (23)$$

and where

$$S^{1-loop} = \frac{1}{2} \text{STr} \ln S_{tot}^{(2)}. \quad (24)$$

- The Hessian can be evaluated by the reduced second variation of the action, i.e.

$$\delta^2 S = \frac{1}{2\kappa^2} \int dt d^2x \sqrt{g} \left\{ \left( \lambda - \frac{1}{2} \right) (\partial_t h)^2 + \gamma h (D^4 + 2R D^2 + R^2) h \right\}. \quad (25)$$

- The perturbative parameter is chosen so to normalize the kinetic operator, so that in our case

$$\epsilon = \frac{\kappa}{\left( \lambda - \frac{1}{2} \right)^{\frac{1}{2}}}. \quad (26)$$

- We will employ heat-kernel techniques to evaluate the one-loop term, i.e.

$$\begin{aligned}
 S^{1-loop} &= \frac{1}{2} \text{Tr} \ln(\mathcal{S}^{(2)}) = -\frac{1}{2} \int_{\frac{1}{\Lambda^4}}^{\frac{1}{\mu^4}} \frac{ds}{s} \text{Tr} \mathcal{H}(x, s; \mathcal{S}^{(2)}) = \\
 & - \frac{1}{2} \int_{\frac{1}{\Lambda^4}}^{\frac{1}{\mu^4}} \frac{ds}{s^2} \int dt d^2x \sqrt{\hat{g}} \left\{ a_0 + s^{\frac{1}{2}} a_1 + s a_2 + \mathcal{O}(s^{\frac{3}{2}}) \right\}, \tag{27}
 \end{aligned}$$

where  $a_2 = b_1 K_{ij} K^{ij} + b_2 K^2 + b_3 R^2 + \dots$ , and  $\mu$  is a renormalization scale.

- For the coefficients  $b_1$  and  $b_2$  we can use the result of Baggio, de Boer and Holsheimer (1112.6416) for an anisotropic differential operator  $\mathcal{D}$  action on a scalar field, i.e.

$$\mathcal{D}_{bbh} = -\frac{1}{N\sqrt{g}} \partial_t \frac{1}{N} \sqrt{g} \partial_t + D^4, \tag{28}$$

whereas our operator reads instead

$$\mathcal{D} = -\left(\lambda - \frac{1}{2}\right) \frac{1}{\sqrt{g}} \partial_t \sqrt{g} \partial_t + \gamma (D^2 + R)^2. \tag{29}$$

- As already mentioned, using the expression of  $\epsilon$  in the background field decomposition the kinetic part normalizes, i.e.

$$\mathcal{D} = -\frac{1}{\sqrt{g}} \partial_t \sqrt{g} \partial_t + \frac{\gamma}{\lambda - \frac{1}{2}} (D^2 + R)^2. \quad (30)$$

- The normalization of the spatial part can be obtained by working with an auxiliary metric,

$$\hat{g}_{ij} = \left( \frac{\lambda - \frac{1}{2}}{\gamma} \right)^{\frac{1}{2}} g_{ij}, \quad (31)$$

so that we have

$$\hat{\mathcal{D}} = -\frac{1}{\sqrt{\hat{g}}} \partial_t \sqrt{\hat{g}} \partial_t + (\hat{D}^2 + \hat{R})^2. \quad (32)$$

- We can use the results of Baggio et.al. and obtain for  $b_1$  and  $b_2$

$$a_2 = -\frac{1}{256 \pi} \left( \hat{K}_{ij} \hat{K}^{ij} - \frac{1}{2} \hat{K}^2 \right) + \dots. \quad (33)$$

- For the coefficient  $b_3$  we can use the well known results for higher-derivative operators in the isotropic case (see Gusynin, Nucl.Phys. B333).

In particular, the heat kernel coefficient of  $R^2$  for an operator  $(D^2 + X)^2$  vanishes in two dimensions, so that we have no  $R^2$  term in our one-loop result.

- The one-loop correction is then equal to

$$\frac{1}{2} \hat{Tr} \ln(\hat{D}) = -\frac{1}{2} \int dt d^2x \sqrt{\hat{g}} \left\{ (\Lambda^4 - \mu^4) \frac{1}{16\pi} + (\Lambda^2 - \mu^2) \frac{14}{48\pi^{3/2}} \hat{R} + \ln\left(\frac{\Lambda}{\mu}\right) \frac{1}{16\pi} \left\{ -\frac{1}{4} \hat{K}_{ij} \hat{K}^{ij} + \frac{1}{8} \hat{K}^2 \right\} + \mathcal{O}\left(\frac{1}{\Lambda^2}\right) \right\}. \quad (34)$$

- We are interested only in the logarithmic divergence, which rewritten in terms of the physical metric reads

$$S_{log}^{1-loop} = \frac{1}{128\pi} \left( \frac{\lambda - \frac{1}{2}}{\gamma} \right)^{\frac{1}{2}} \ln\left(\frac{\Lambda}{\mu}\right) \int dt d^2x \sqrt{g} \left\{ K_{ij} K^{ij} - \frac{1}{2} K^2 \right\}. \quad (35)$$



- We can obtain the  $\beta$ -functions by expressing the  $i$ -th bare coupling  $g_{b,i}$  as  $g_{b,i} = g_{R,i} + \delta g_i$ , being  $g_{R,i}$  a renormalized coupling  $\delta g_i$  a counterterm, so that

$$\begin{aligned}\frac{2}{\kappa_R^2} &= \frac{2}{\kappa^2} - \frac{1}{128\pi} \left( \frac{\lambda - \frac{1}{2}}{\gamma} \right)^{\frac{1}{2}} \ln \left( \frac{\Lambda}{\mu} \right), \\ \frac{2\lambda_R}{\kappa_R^2} &= \frac{2\lambda}{\kappa^2} - \frac{1}{256\pi} \left( \frac{\lambda - \frac{1}{2}}{\gamma} \right)^{\frac{1}{2}} \ln \left( \frac{\Lambda}{\mu} \right), \\ \frac{2\gamma_R}{\kappa_R^2} &= \frac{2\gamma}{\kappa^2}.\end{aligned}\tag{36}$$

- The renormalized couplings then read

$$\begin{aligned}\kappa_R^2 &= \kappa^2 \left( 1 + \frac{\kappa^2}{256\pi} \left( \frac{\lambda - \frac{1}{2}}{\gamma} \right)^{\frac{1}{2}} \ln \left( \frac{\Lambda}{\mu} \right) \right) + \mathcal{O}(\hbar^2), \\ \lambda_R &= \lambda + \frac{1}{256\pi} \frac{\kappa^2}{\gamma^{1/2}} \left( \lambda - \frac{1}{2} \right)^{\frac{3}{2}} \ln \left( \frac{\Lambda}{\mu} \right) + \mathcal{O}(\hbar^2), \\ \gamma_R &= \gamma \left( 1 + \frac{\kappa^2}{256\pi} \left( \frac{\lambda - \frac{1}{2}}{\gamma} \right)^{\frac{1}{2}} \ln \left( \frac{\Lambda}{\mu} \right) \right) + \mathcal{O}(\hbar^2).\end{aligned}\tag{37}$$

- As usual the  $\beta$ -functions are obtained stating  $\partial_\mu g_b = 0$ , so that

$$\begin{aligned}\beta_{\kappa^2} &= \mu \partial_\mu \kappa_R^2 = -\frac{\kappa^4}{256 \pi} \left( \frac{\lambda - \frac{1}{2}}{\gamma} \right)^{\frac{1}{2}}, \\ \beta_\lambda &= \mu \partial_\mu \lambda_R = \frac{(\lambda - \frac{1}{2})}{\kappa^2} \beta_{\kappa^2}, \\ \beta_\gamma &= \mu \partial_\mu \gamma_R = \frac{\gamma}{\kappa^2} \beta_{\kappa^2}.\end{aligned}\tag{38}$$

- Solving the system we find

$$\begin{aligned}k_R^2(\mu) &= \frac{256 \pi}{b^{1/2} (\ln \frac{\mu}{\mu_0} + C)}, \\ \lambda_R(\mu) &= \frac{1}{2} + \frac{C_1}{\ln \frac{\mu}{\mu_0} + C}, \\ \gamma_R(\mu) &= \frac{C_2}{\ln \frac{\mu}{\mu_0} + C}.\end{aligned}\tag{39}$$

where  $C$  and  $b = C_1/C_2$  are integration constants.

- Although the Newton's constant tends to zero in the UV, the interaction of the theory is defined by  $\epsilon$ , whose running reads

$$\epsilon_R^2 = \frac{\kappa_R^2}{\lambda_R - \frac{1}{2}} = \frac{256 \pi C_2^{1/2}}{C_1^{3/2}}. \quad (40)$$

Consequently, at one loop the theory is not asymptotically free.

- However, it is interesting to note that  $\lambda$  tends to  $1/2$ . For this value the kinetic action is invariant under anisotropic Weyl transformations

$$g_{ij} \rightarrow e^{2\phi(t,\mathbf{x})} g_{ij}, \quad N \rightarrow e^{z\phi(t,\mathbf{x})} N, \quad N_i \rightarrow e^{2\phi(t,\mathbf{x})} N_i. \quad (41)$$

We expect the running of  $\lambda$  to the conformal point to be a feature of the full model.

- Hořava-Lifshitz gravity in  $d + 1$  dimensions with  $z = d$  features simultaneously power-counting renormalizability and unitarity.
- Because of the large number of couplings and complications coming from the anisotropic character of the background the UV has not been explored.
- We studied a simpler case, i.e. Hořava-Lifshitz gravity in 2+1 dimensions with  $z = 2$ . We focused on a conformally reduced version of the projectable case.
- At one-loop the Newton's constant runs to zero in UV and  $\lambda$  to its conformal value  $\lambda = \frac{1}{2}$ . The interaction strength, however, is constant along the flow.
- What happens at two loops? What happens with gravitons?  
We expect  $\lambda$  to run to its conformal value also in the full model.

Thanks for the attention.