

Controllable Instantons

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outline

1. Nano-review of [instantons](#)
2. Micro-review of [QCD conformal window](#)
3. Micro-review of [LISA](#) (Litim-Sannino model, 1406.2337)
4. Instantons \subset Banks-Zaks and LISA

Reference: [1802.10372](#)

Nano-review of Instantons

- $Z[\mathcal{J}] = \int \mathcal{D}\phi e^{iS[\phi;\lambda]+\mathcal{J}\phi}$
- $Z[\mathcal{J}] = \int \mathcal{D}\phi e^{i[S[\bar{\phi}]+\frac{1}{2}\phi S^{(2)}[\bar{\phi}]\phi+\mathcal{O}(\phi^3)]+\mathcal{J}\phi}$
- Euclideanize \implies if $\exists > 1$ degenerate vacuum then **topologically stable solutions to Euclidean EOM** may \exists
- These solutions are called pseudoparticles or **instantons** and should be included in the steepest descent method!

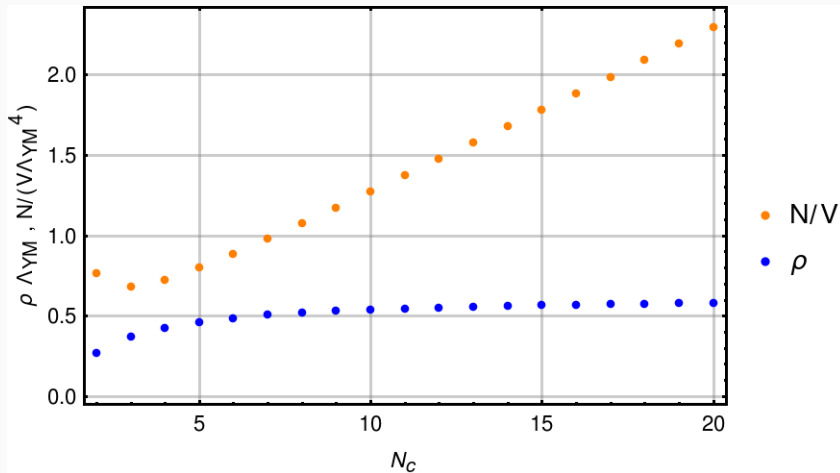
- from the POV of homotopy th. instantons are non-trivial maps labelled by an integer (**topological charge**)
- $n = \frac{g^2}{32\pi^2} \int_X G_a^{\mu\nu} \tilde{G}_{a\mu\nu}, \quad n \in \mathbb{Z}$
- $A_\mu^a = \frac{2}{g} \eta_{a\mu\nu} \frac{(x-x_0)^\nu}{(x-x_0)^2 + \rho^2},$ **BPST instanton** in regular gauge

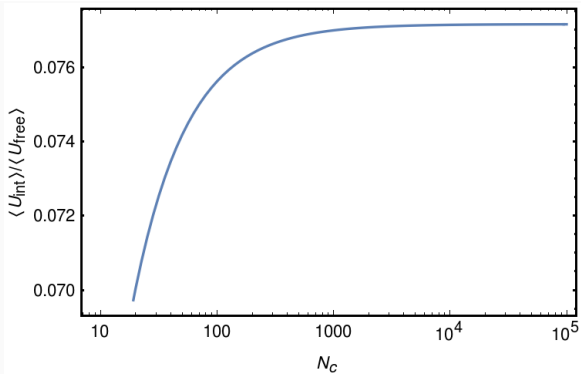
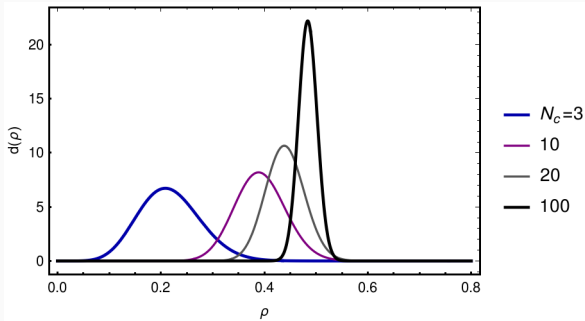
- $\langle 0|0\rangle$ in presence of a single instanton is given by the following 1-loop instanton calculus result for an $SU(N_c)$ pure YM:
- $W^{(1)} = C_c \int d^4x d\rho \rho^{-5} \left(\frac{8\pi^2}{g_0^2}\right)^{2N_c} \exp\left(-\frac{8\pi^2}{g_{1L}^2}\right)$
- this requires: IR regularization and renormalization
- including fermions this becomes:
- $W^{(1)} = C_{cf} m^{N_f} \int d^4x d\rho \rho^{-5+N_f} \left(\frac{8\pi^2}{g_0^2}\right)^{2N_c} \exp\left(-\frac{8\pi^2}{g_{1L}^2}\right)$
- caveat: this appears to vanish for $m \rightarrow 0$

- $m = 0$ could be bypassed in real world through condensates:
- $m_{eff} = -\frac{2\pi^2\rho^2}{N_c}\langle\bar{q}(1 + \gamma_5)q\rangle$, solves problem if $m \rightarrow m_{eff} \neq 0$
- IR div. could be bypassed through instanton interactions
- originally done empirically, later systematically in MFT
- description in terms of an instanton fluid, characterized by $\bar{\rho}$ and $\langle N/V \rangle$
- $\langle N/V \rangle$ directly related to pheno. observables, e.g.:
- gluon condensate, vacuum energy, χ_{top} , U(1) axial anomaly,..

- MFT starts from the following ansatz:
- $\frac{Z}{Z_{ptb}} \Big|_{reg,1L} \geq \frac{1}{N_+!N_-!} \int \prod_i^{N_++N_-} d\gamma_i d(\rho_i) e^{-\beta(\bar{\rho})U_{int}(\gamma_i)}$
- notation: $\beta(\rho) \equiv 8\pi^2/g^2(\rho)$
- only 2-body interaction: $U_{int}^{2-body}(\rho_1, \rho_2) = \gamma^2 \rho_1^2 \rho_2^2$
- notation: $\gamma^2 = \frac{27\pi^2}{4} \frac{N_c}{N_c^2-1}$
- variational principle gives us optimal instanton density profile:
- $\mu(\rho) = d(\rho) \exp\left(-\frac{\beta\gamma^2 N}{V} \rho^2 \rho^2\right)$

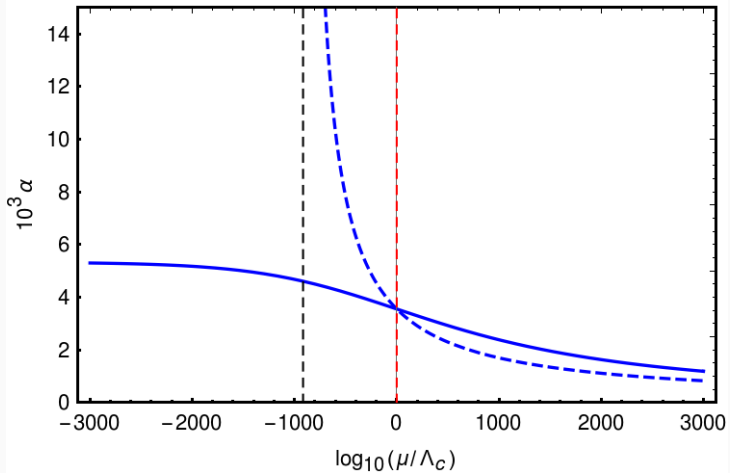
- from $\overline{\rho^2} = \frac{1}{\mu^0} \int d\rho \rho^2 \mu(\rho)$ and the above $\mu(\rho)$ we get:
- $(\overline{\rho^2})^2 = \nu / (\beta \gamma^2 N/V)$, where $\nu = \frac{b-4}{2}$
- maximizing $\left. \frac{Z}{Z_{\rho tb}} \right|_{reg, 1L}$ wrt N we also get:
- $\langle N \rangle = V \Lambda_{YM}^4 \left(\Gamma(\nu) C_{cf} \tilde{\beta}^{2N_c} (\beta \gamma^2 \nu)^{-\nu/2} \right)^{\frac{2}{\nu+2}}$
- we have to solve consistently for N/V and $\rho!$





Micro-review of QCD Conformal Window

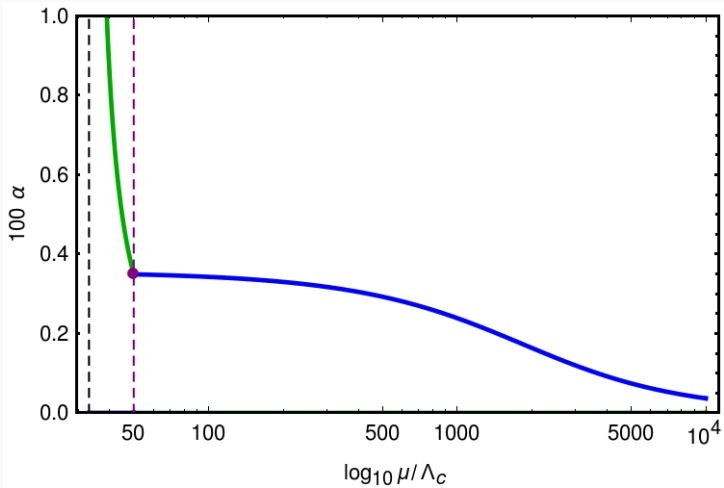
- consider QCD with N_c colors and N_f massless fermions
- let $N_f \lesssim \frac{11}{2} N_c$ so that asymptotic freedom is preserved
- let also $N_c, N_f \rightarrow \infty$ so that we go to Veneziano limit:
- expansion parameter: $\epsilon = \frac{N_f}{N_c} - \frac{11}{2} < 0$
- Banks and Zaks observed that beta functions admit an interacting IR fixed point (starting from 2 loops)!



- "exact" 2-loop running (no deep-UV expansion)
- $\alpha(\mu) = \alpha_*/(1 + W(z(\mu)))$, where $\alpha = g^2 N_c / (4\pi)^2$
- $\mu \partial_\mu \alpha = -B\alpha^2 + C\alpha^3 \rightarrow \alpha_* = B/C$, $B = -\frac{4}{3}\epsilon > 0$, $C \simeq 25$
- $\partial_\alpha \beta_\alpha = 0$ for $\alpha = \frac{2}{3}\alpha_* \equiv \alpha_c \implies \mu(\alpha_c) \equiv \Lambda_c$
- $z(\rho) = \#(\rho\Lambda_c)^{-\theta_*}$; $\theta_* = e$ 'value of the stab. matrix @ BZ FP

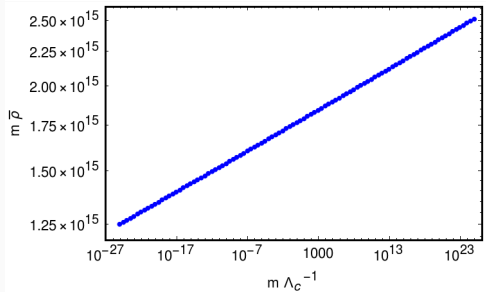
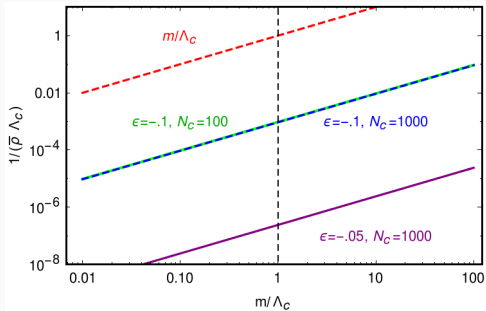
Instantons in Banks-Zaks model

- FP arbitrarily **weakly interacting** \rightarrow controllable ϵ -expansion
- conformal IR dynamics; distinct from the χ SB QCD scenario
- ptb control \rightarrow include **fermion effects @1L** by including their contribution to the beta function of the gauge coupling
- we investigate **mass-deformed** perturbative CFT (massless fermions \implies trivial vacuum-to-vacuum transition amplitude)



- our first task is to **characterize the instanton fluid!**
- we use "exact" 2-loop RG running in the master equation
- this provides us with RG-improved instanton density $d_{2L}(\rho)$
- $d_{2L}(\rho) = f_{c,f}(\bar{\rho}) m^{N_f} \rho^{N_f-5} (\rho \Lambda_c)^{\frac{1}{2} B N_c}$, where
- $f_{c,f}(\bar{\rho}) \sim \log(M^2 \bar{\rho}^2)^{2N_c} W(\bar{\rho}^2)^{N_c/(2\alpha_*)}$

- we now use variational principle to find $\overline{\rho^2}$, and arrive at
- $(\overline{\rho^2})^2 = \nu / (\beta \gamma^2 N / V)$, where $\nu = \frac{1}{2}(\frac{1}{2}BN_c + N_f - 4)$
- also need N/V , which we get by minimizing partition func.:
- $\langle N \rangle / (V \Lambda_c^4) = (\Gamma(\nu) (\frac{m}{\Lambda_c})^{N_f} f(\bar{\rho}) (\beta \gamma^2 \nu)^{-\nu/2})^{2/(2+\nu)}$
- these equations need to be solved consistently!
- we are looking for a simultaneous solution for N/V and $\bar{\rho}$

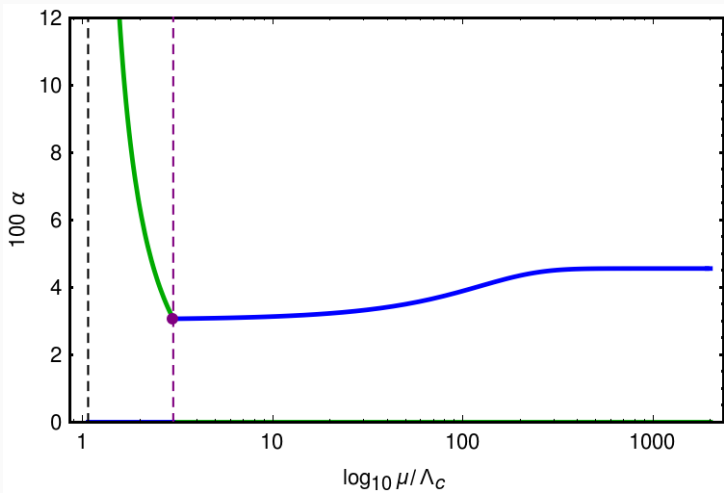


- instantons found well within the pure YM regime
- calculation of instanton density and topological susceptibility closely follows pure YM
- we have the following choice of units: Λ_{YM} or Λ_c
- $\rho\Lambda_{YM}$ also ϵ -independent for a, m, N_c all fixed
- then N/V essentially depends only on the explicit $(\overline{\rho^2})^2$
- since $\chi_{top} \sim \langle N \rangle$ in units of Λ_{YM} $\chi_{top}(m, \epsilon)$ is constant

Micro-review of Litim-Sannino (LISA) Model

- $\mathcal{L}_{LISA} = \mathcal{L}_{YM} + \mathcal{L}_\psi + \mathcal{L}_{Yuk} + \mathcal{L}_\phi$, see: 1406.2337
- **LO**: $\mu\partial_\mu\alpha_g = -B\alpha_g^2$, where $B = -4\epsilon/3$
- opposite from BZ, $\epsilon = N_f/N_c - 11/2 > 0$, and $|\epsilon| \ll 1$
- @1L loss of asymptotic freedom, triviality problem
- **NLO**: $\mu\partial_\mu\alpha_g = -B\alpha_g^2 + C\alpha_g^3 \rightarrow \alpha_g^* = B/C$
- UV NGFP unphysical without Yukawa! (B flips sign wrt BZ)
- including β_{yuk} @1L and β_ϕ @0L gives **physical UV NGFP**
- dubbed **2-1-0** scheme. FP confirmed to \exists in **3-2-1** scheme!

- eff. flow of α_g along the separatrix is of the NLO form with:
- $C = -2/3(57 - 46\epsilon - 8\epsilon^2)/(13 + \epsilon)$, see 1501.03061
- analogous to the BZ running $\rightarrow \alpha_g(\mu) = \alpha_*/(1 + W(z(\mu)))$
- from the POV of α_g high and low energies "exchange" roles



Instantons in LISA

- work in 2-1-0 scheme so \mathcal{L}_{Yuk} and \mathcal{L}_ϕ drop out
- the remaining computation closely follows the BZ computation
- deep in perturbative regime so there are no condensates
- introduce mass operator for fermions
- obtain $\mu(\rho)$, $\bar{\rho}$, and $\langle N/V \rangle$ by analogy
- parameters $f(\bar{\rho})$, ν and β have to be appropriately modified

- using LISA beta functions instantons found at $\bar{\rho}^{-1} < m$
- next we look for instantons below m
- we find them and confirm that they're consistent
- in this respect situation with LISA is equivalent to Banks-Zaks
- instantons are always deep-IR objects
- (deep-IR is \simeq Gaussian for LISA but opposite for BZ)

recap & outlook

- we've investigated **instanton dynamics for fundamental QFTs** featuring either asymptotically safe or free dynamics
- we've determined the **number of instantons per unit volume** and instanton density as function of a common fermion mass
- its **importance** comes from direct connection with observables
- ambitious **goal** is to determine to which extent the instanton dynamics is responsible for the loss of conformality

Thank you!