

# Trace anomaly and infrared cutoffs

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A theory that is scale invariant at the classical level, is in general no longer scale invariant at the quantum level.


This is known as the trace anomaly.

$$\delta_\epsilon g_{\mu\nu} = 2\epsilon g_{\mu\nu}$$

(by length, 4 dimensions)

$$\delta_\epsilon \phi = -\epsilon \phi$$

It results in the insertion in renormalised correlation functions of the anomalous trace of the renormalised stress-energy tensor:

$$-\delta_\epsilon \mathcal{S} = \epsilon \int_x T^\mu{}_\mu$$




Contributions from external non-flat metric & interactions.

We will focus on interactions.

$$(g_{\mu\nu} = \delta_{\mu\nu})$$

$$\left(\frac{\lambda}{4!}\phi^4\right)$$

$$\mathcal{A}(\epsilon) = -\delta_\epsilon \mathcal{S} = \epsilon \int_x T^\mu{}_\mu = \epsilon \beta \int_x \frac{1}{4!} \phi^4$$

$\mu \partial_\mu \lambda(\mu)$

At the quantum level scale invariance is broken by the regularisation  $\Leftrightarrow$  breaking terms in bare action.

What meaning can scale invariance have now?



# An 'almost scale invariant' quantum theory.

$$(g_{\mu\nu} = \delta_{\mu\nu})$$

$$\left(\frac{\lambda}{4!}\phi^4\right)$$

$$\mathcal{A}(\epsilon) = -\delta_\epsilon \mathcal{S} = \epsilon \int_x T^\mu{}_\mu = \epsilon \beta \int_x \frac{1}{4!} \phi^4$$

$$\mu \partial_\mu \lambda(\mu)$$

At the quantum level scale invariance is broken by the regularisation  $\Rightarrow$  breaking terms in bare action.

The breaking in the bare action is only by  $\lambda$  dependent contributions such that this equation is satisfied at the renormalised level.



Insertion of:

$$\mathcal{A}(\epsilon) = -\delta_\epsilon \mathcal{S} = \epsilon \int_x T^\mu{}_\mu = \epsilon \beta \int_x \frac{1}{4!} \phi^4$$

⇓

$$\delta_\epsilon \Gamma = -\mathcal{A}(\epsilon) = -\epsilon \beta(\lambda) \partial_\lambda \Gamma$$



$$\delta_\epsilon \Gamma = -\mathcal{A}(\epsilon) = -\epsilon \beta(\lambda) \partial_\lambda \Gamma$$

E.g. let  $\langle \phi \rangle$  be the sole reason for breaking invariance:

$$\Gamma = \int_x \frac{\langle \phi \rangle^4}{4!} v(\langle \phi \rangle)$$

$$\delta_\epsilon v(\langle \phi \rangle) = -\epsilon \langle \phi \rangle \partial_{\langle \phi \rangle} v = -\epsilon \beta(\lambda) \partial_\lambda v$$

$$\Gamma = \int_x \frac{\langle \phi \rangle^4}{4!} \lambda(\langle \phi \rangle) = \int_x \frac{\langle \phi \rangle^4}{4!} \left( \lambda(\mu) + \frac{3\lambda^2(\mu)}{(4\pi)^2} \log(\langle \phi \rangle / \mu) \right) .$$

Coleman-Weinberg potential

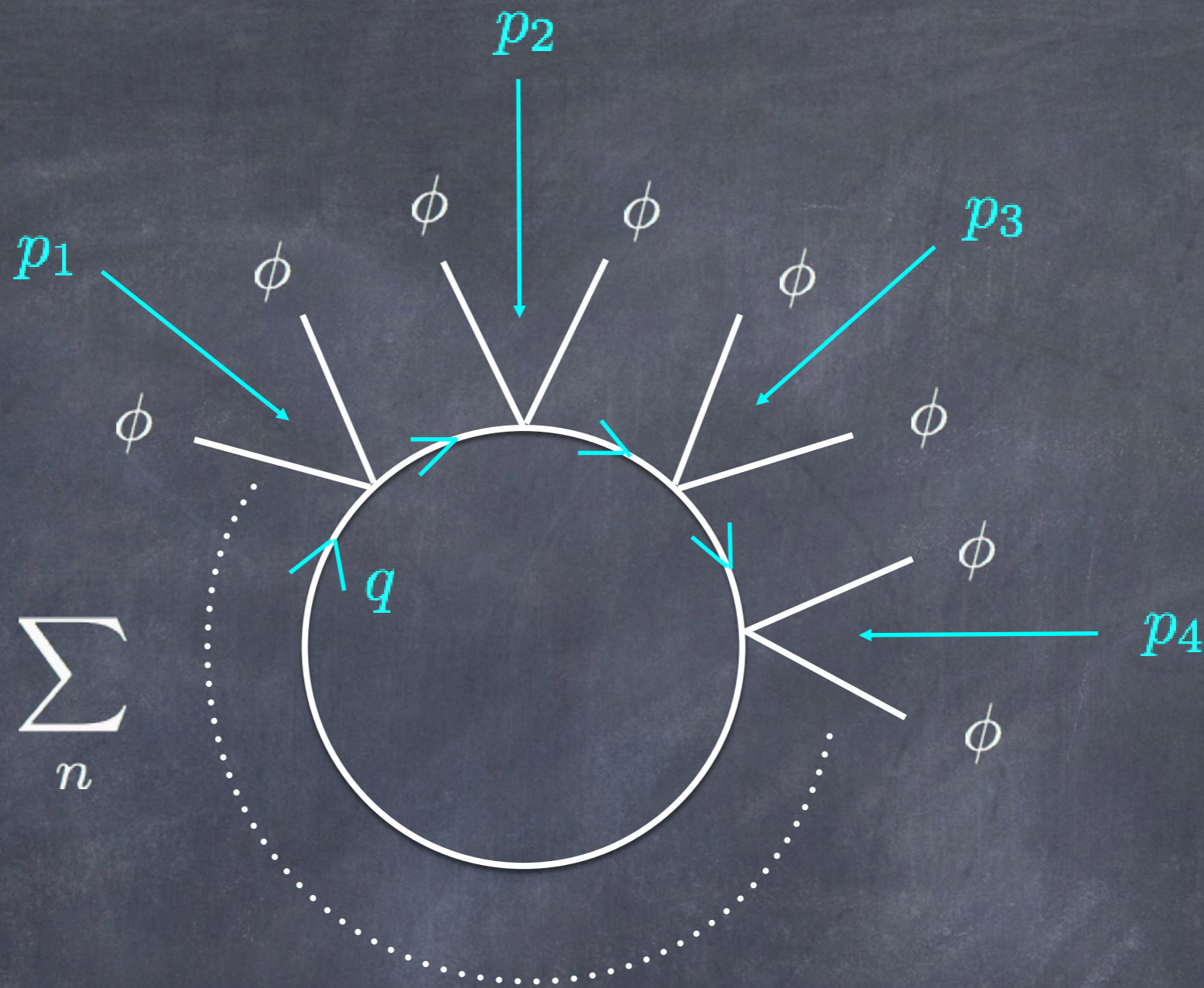
(at one loop)



One loop:

bare action

$$\Gamma[\phi] = S[\phi] + \sum_n$$



$$P_j = \sum_{i=1}^j p_j$$

$$-\frac{1}{2n} \left( -\frac{\lambda}{2} \right)^n \int \frac{d^4 q}{(2\pi)^4} \frac{1}{q^2 (q + P_1)^2 (q + P_2)^2 \cdots (q + P_{n-1})^2}$$

$$\delta_\epsilon q^2 = -2\epsilon q^2$$

$n > 2$ : finite scale invariant non-local vertices



$n = 1$

$$\frac{\lambda}{4} \int^{\Lambda} \frac{d^4 q}{(2\pi)^4} \frac{1}{q^2}$$



gives a term in  $\Gamma$  which breaks scale invariance:

$$\Gamma \ni a\hbar\lambda\Lambda^2 \int_x \phi^2$$

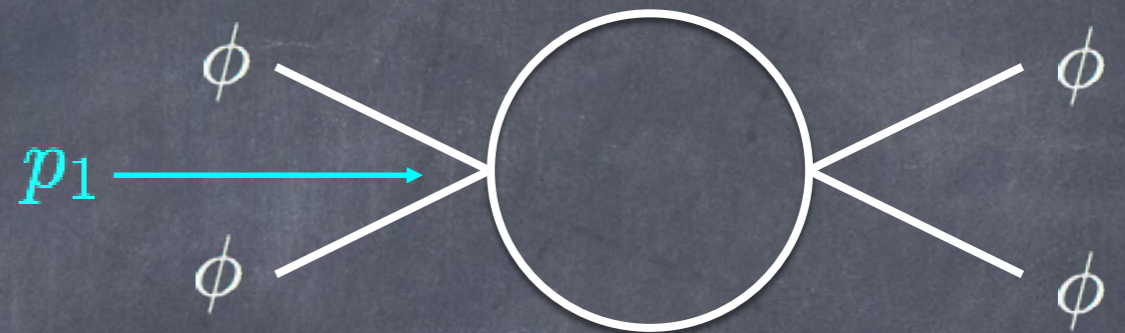
which we must cancel exactly with a counter-term that also breaks scale invariance:

$$S \ni -a\hbar\lambda\Lambda^2 \int_x \phi^2$$

in order to restore scale invariance at the renormalised level



$n = 2$

$$A(p_1) = -\frac{\lambda^2}{16} \int^{\Lambda} \frac{d^4 q}{(2\pi)^4} \frac{1}{q^2 (q + p_1)^2}$$


Formally scale invariant but broken by UV regularisation:

$$\delta_{\epsilon} A(p_1) = -\frac{\lambda^2}{16} (+\epsilon \Lambda) \frac{2\Lambda^3}{(4\pi)^2} \frac{1}{\Lambda^4} = -\epsilon \frac{\beta(\lambda)}{4!}$$

Requires counterterm:

$$S \ni \int_x \left( \lambda(\mu) + \frac{3\lambda^2(\mu)}{(4\pi)^2} \log(\Lambda/\mu) \right) \frac{\phi^4}{4!}$$

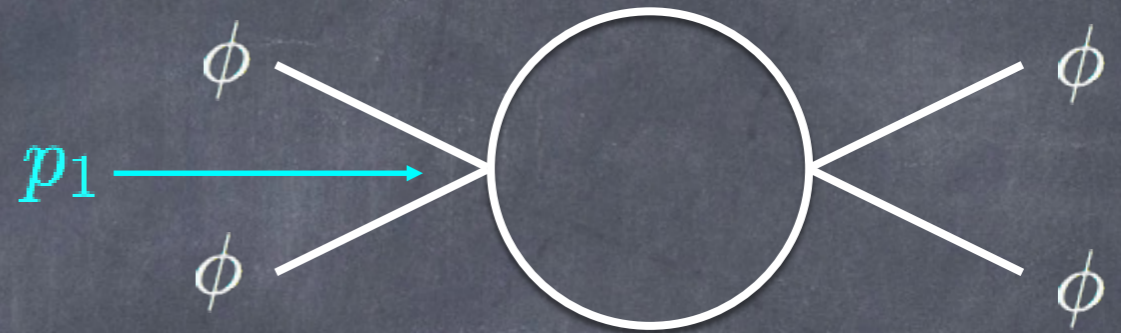
$\lambda(\Lambda)$

which is scale invariant!



n = 2

$$A(p_1) = -\frac{\lambda^2}{16} \int^{\Lambda} \frac{d^4 q}{(2\pi)^4} \frac{1}{q^2 (q + p_1)^2}$$



With counter-term added,  $\Lambda$  has gone & vertex is finite,  
but scale invariance is still anomalous ...

... because vertex has a particular non-local part:

$$\Gamma \ni \int_x \left\{ \frac{\lambda(\mu)}{4!} \phi^4 + \frac{\lambda^2(\mu)}{256\pi^2} \phi^2 \log \left( \frac{-\partial^2}{\mu^2} \right) \phi^2 \right\}$$

$$\delta_\epsilon \Gamma = -\epsilon \beta \int_x \frac{\phi^4}{4!}$$

$$\delta_\epsilon \Delta = \delta_\epsilon (-\partial^2) = -2\epsilon \Delta$$



$$\delta_\epsilon \Gamma = -\mathcal{A}(\epsilon)$$

## Effective average action

$$\delta_\epsilon \Gamma_k = -\mathcal{A}(\epsilon) + \epsilon \partial_t \Gamma_k$$

$$S_k(\phi; g_{\mu\nu}) = \frac{1}{2} \int_x \phi R_k(\Delta) \phi$$

$$R_k(\Delta) = k^2 r(\Delta/k^2)$$

$$\delta_\epsilon R_k = \epsilon(-2R_k + \partial_t R_k)$$

$$\delta_\epsilon \Delta = \delta_\epsilon(-\partial^2) = -2\epsilon \Delta$$

## Wilsonian RG!

$$\mathcal{A}(\epsilon) = \epsilon \partial_t \Gamma_k - \delta_\epsilon \Gamma_k$$

$$\text{Fixed points} \implies \mathcal{A}(\epsilon) = 0$$



## Wilsonian RG

$$\mathcal{A}(\epsilon) = \epsilon \partial_t \Gamma_k - \delta_\epsilon \Gamma_k$$

Expand in local operators:  $\Gamma_k = \sum_i \lambda_i(k) \mathcal{O}_i$

Dimensionless vars:  $\Gamma_k = \sum_i \tilde{\lambda}_i(k) \tilde{\mathcal{O}}_i$

$$\tilde{\lambda}_i = k^{\Delta_i} \lambda_i$$

$$\tilde{\mathcal{O}}_i = k^{-\Delta_i} \mathcal{O}_i$$

$$\implies \mathcal{A}(\epsilon) = \epsilon \sum_i \tilde{\beta}_i \tilde{\mathcal{O}}_i$$

Almost scale invariance:  $\tilde{\beta}_i = \partial_t \tilde{\lambda}_i = \partial_t \lambda \partial_\lambda \tilde{\lambda}_i = \beta(\lambda) \partial_\lambda \tilde{\lambda}_i$

$$\implies \mathcal{A}(\epsilon) = \epsilon \beta(\lambda) \partial_\lambda \Gamma_k$$



$$\delta_\epsilon \Gamma_k = -\mathcal{A}(\epsilon) + \epsilon \partial_t \Gamma_k$$

$$-\epsilon \beta(\lambda) \partial_\lambda \Gamma_k$$

E.g. let  $\langle \phi \rangle$  be the sole reason for breaking invariance:

other

$$\Gamma_k = \int_x \frac{\langle \phi \rangle^4}{4!} v_k(\langle \phi \rangle)$$

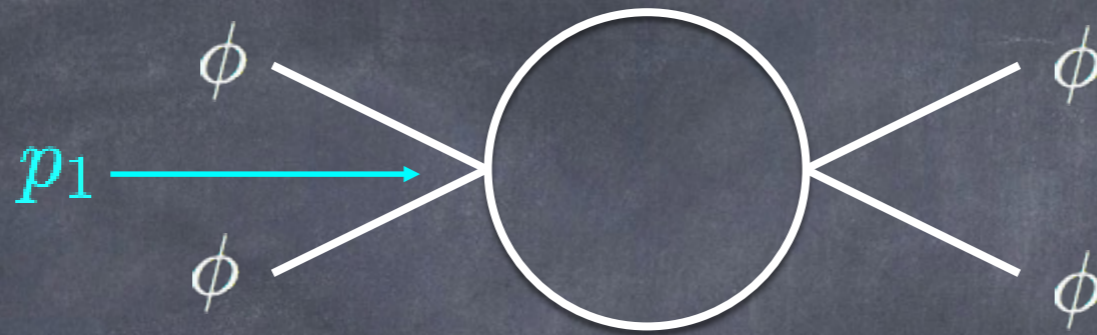
$$\delta_\epsilon v_k(\langle \phi \rangle) = -\epsilon \langle \phi \rangle \partial_{\langle \phi \rangle} v_k = -\epsilon \beta(\lambda) \partial_\lambda v_k + \epsilon \partial_t v_k$$

$$v_k = v \left( \langle \phi \rangle / k, \lambda (\langle \phi \rangle^a k^{1-a}) \right)$$

(a any number)



n = 2



$$A_k(p_1) = -\frac{\lambda^2}{16} \int^{\Lambda} \frac{d^4 q}{(2\pi)^4} \frac{1}{[q^2 + R_k(q)] [(q+p_1)^2 + R_k(q+p_1)]}$$

Derivative expansion:

$$\Gamma_k \ni \int_x \left\{ \frac{\lambda(k)}{4!} \phi^4 + \frac{\lambda^2(k)}{256\pi^2} \sum_{n=1}^{\infty} a_n \phi^2 \left( \frac{-\partial^2}{k^2} \right)^n \phi^2 \right\}$$



$$\Gamma_k \ni \int_x \left\{ \frac{\lambda(k)}{4!} \phi^4 + \frac{\lambda^2(k)}{256\pi^2} \sum_{n=1}^{\infty} a_n \phi^2 \left( \frac{-\partial^2}{k^2} \right)^n \phi^2 \right\}$$

$$\delta_\epsilon \Gamma_k = -\mathcal{A}(\epsilon) + \epsilon \partial_t \Gamma_k$$

scale invariant!

$\Downarrow$

$$-\epsilon \beta(\lambda) \partial_\lambda \Gamma_k$$

$$-\int_x \frac{\lambda^2(k)}{128\pi^2} \sum_{n=1}^{\infty} n a_n \phi^2 \left( \frac{-\partial^2}{k^2} \right)^n \phi^2$$

$$\delta_\epsilon \int_k^\Lambda \frac{d^4 q}{(2\pi)^4} \frac{1}{q^4} = 0$$



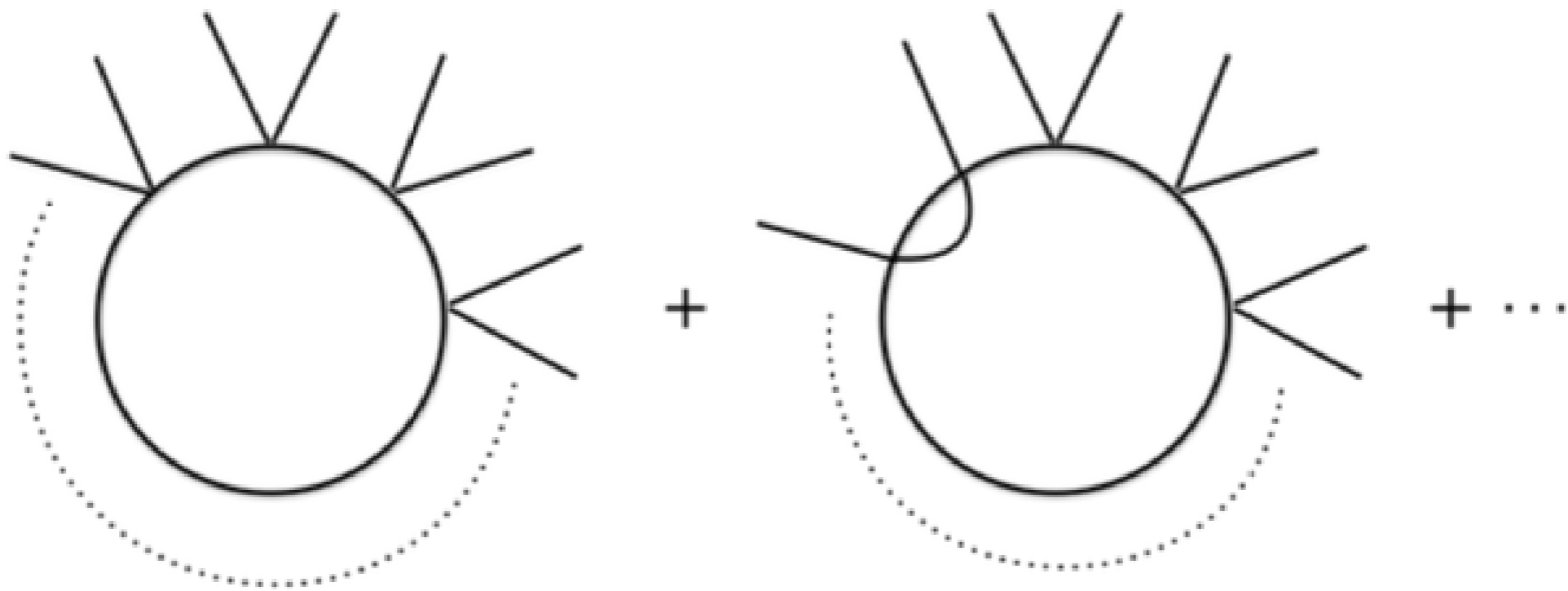


Figure 2: How higher-point vertices contribute to the trace anomaly beyond one loop.



Limit as  $k \rightarrow 0$

$$\Gamma_k = \int_x \left\{ \frac{1}{2} (\partial\phi)^2 + \frac{\lambda(k)}{4!} \phi^4 + \frac{\lambda^2(k)}{256\pi^2} \phi^2 \log\left(\frac{-\partial^2}{k^2}\right) \phi^2 + O(k^2 \log k) \right\}$$

explicit  $k$  dependence diverges, but actually  
 $k$  independent! (RG invariant)

$$\delta_\epsilon \Gamma_k = -\mathcal{A}(\epsilon) + \epsilon \partial_t \Gamma_k$$

$\Downarrow$

$$\delta_\epsilon \Gamma = -\mathcal{A}(\epsilon) = -\epsilon \beta(\lambda) \partial_\lambda \Gamma$$



# Trace anomaly and infrared cutoffs

- Trace anomaly defines 'almost scale invariant'
- can be seen through influence of UV cutoff
- modified by IR cutoff, intimately related to RG
- Standard form hidden in local approximations
- ... is recovered in the limit  $k \rightarrow 0$ , related to RG invariance.