

# Form Factors in Asymptotic Safety

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L. Bosma, B. Knorr and F.S., arXiv:1904.04845

B. Knorr, C. Ripken and F.S., arXiv:1907.02903

International Asymptotic Safety Seminar

July 8<sup>th</sup>, 2019

# Outline

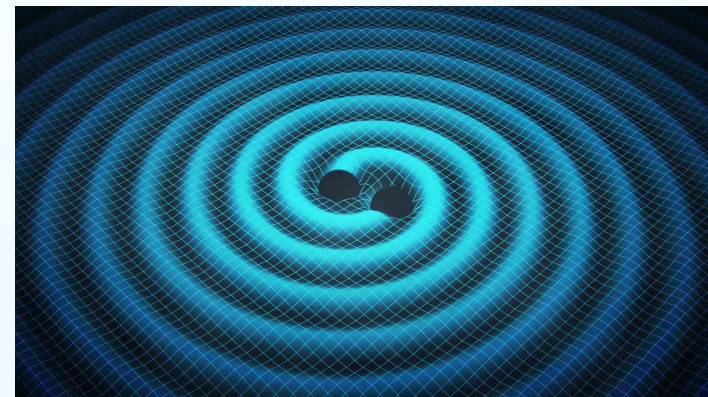
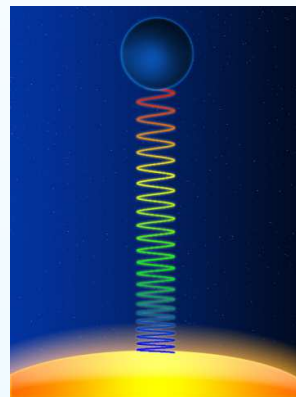
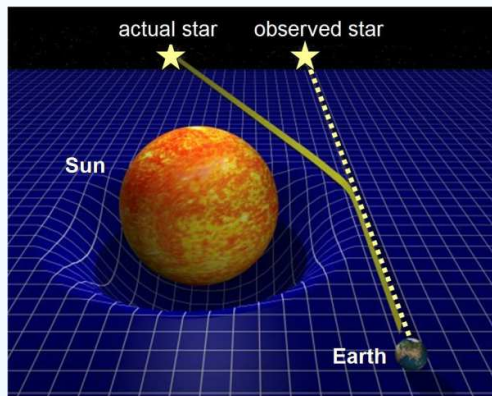
- Motivation
- Form factors – structural aspects
- Form factors – computational remarks
- Example 1: gravitational propagator for transverse-traceless modes
- Example 2: quantum corrected scalar propagator
- Application: quantum corrections to the gravitational potential
- Outlook

# General Relativity

Einstein's equations

$$\underbrace{R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R + \Lambda g_{\mu\nu}}_{\text{dynamics of spacetime}} = \underbrace{8\pi G_N T_{\mu\nu}}_{\text{matter}}$$

experimentally extremely well tested:

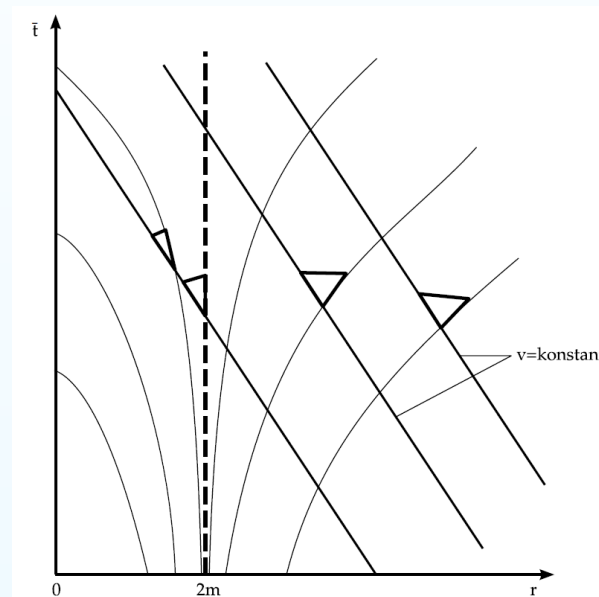


- bending of light rays in the gravitational field
- gravitational redshift
- detection of gravitational waves

# Black Holes and Spacetime Singularities

Schwarzschild solution

$$ds^2 = - \left( 1 - \frac{2GM}{r} \right) dt^2 + \left( 1 - \frac{2GM}{r} \right)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

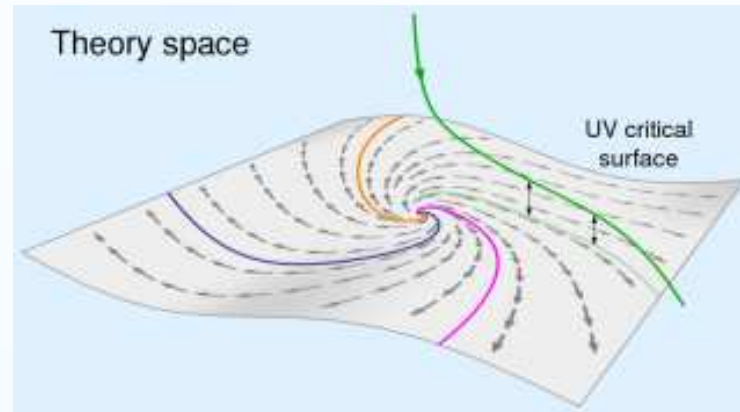


- curvature singularity at  $r = 0$

basic objective of quantum gravity  
understand the fate of these singularities

# Asymptotic Safety Mechanism

high-energy completion controlled by non-Gaussian RG fixed point



[scholarpedia '13]

- 2 classes of RG trajectories:
  - relevant = end at fixed point in UV
  - irrelevant = go somewhere else...
- theory ending at the fixed point is free of unphysical UV divergences
- predictive power:
  - number of relevant directions  $\iff$  free parameters (experimental input)

# Wetterich Equation for Gravity

C. Wetterich, Phys. Lett. **B301** (1993) 90  
T. Morris, Int. J. Mod. Phys. A9 (1994) 24110  
M. Reuter, Phys. Rev. D **57** (1998) 971

central idea: integrate out quantum fluctuations shell-by-shell in momentum-space

implementation: flow equation for effective average action  $\Gamma_k$ :

$$\partial_t \Gamma_k[h_{\mu\nu}; \bar{g}_{\mu\nu}] = \frac{1}{2} \text{Tr} \left[ \left( \Gamma_k^{(2)} + \mathcal{R}_k \right)^{-1} \partial_t \mathcal{R}_k \right]$$

- RG fixed points for theories involving gravity:
  - NGFP for 4-dimensional gravity well-established
  - gravity-matter systems:  
NGFPs with more predictive power than the standard model
- construction uses the background field formalism:
  - depends on two arguments  $h_{\mu\nu}, \bar{g}_{\mu\nu}$
  - split symmetry related to  $g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$  restored at  $k = 0$

# Open Questions

- degrees of freedom associated with the NGFP?
- number of free parameters?
- exact matter-content supporting asymptotic safety?
- low-energy physics compatible with observations?
- restoration of split-symmetry?
- unitarity?

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Investigation method:

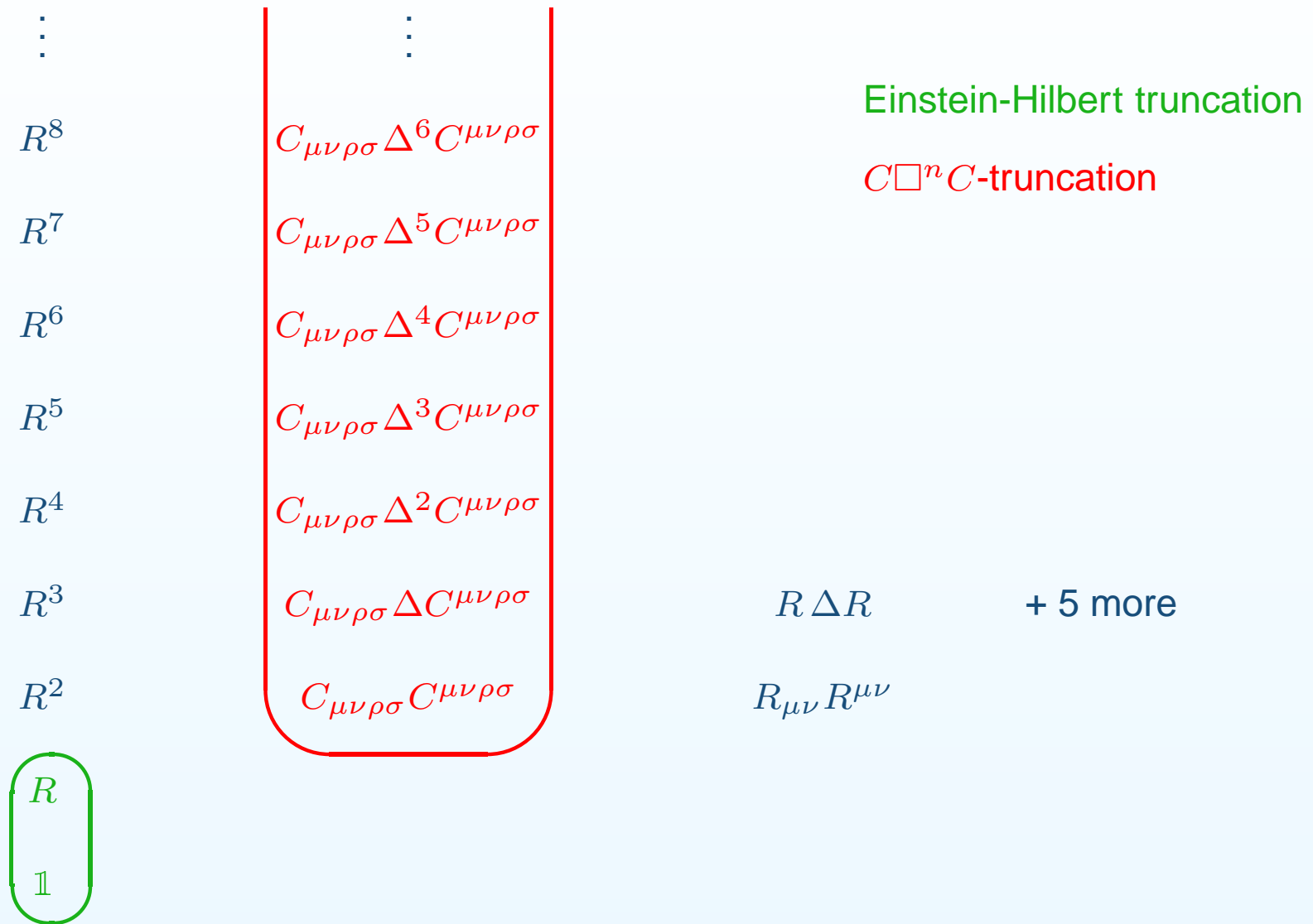
- derivative expansion of  $\Gamma_k$
- derivative expansion insufficient



# Derivative expansion of $\Gamma_k^{\text{grav}}[g]$

$\vdots$	$\vdots$		
$R^8$	$C_{\mu\nu\rho\sigma} \Delta^6 C^{\mu\nu\rho\sigma}$		Einstein-Hilbert truncation
$R^7$	$C_{\mu\nu\rho\sigma} \Delta^5 C^{\mu\nu\rho\sigma}$		
$R^6$	$C_{\mu\nu\rho\sigma} \Delta^4 C^{\mu\nu\rho\sigma}$		
$R^5$	$C_{\mu\nu\rho\sigma} \Delta^3 C^{\mu\nu\rho\sigma}$		
$R^4$	$C_{\mu\nu\rho\sigma} \Delta^2 C^{\mu\nu\rho\sigma}$		
$R^3$	$C_{\mu\nu\rho\sigma} \Delta C^{\mu\nu\rho\sigma}$	$R \Delta R$	+ 5 more
$R^2$	$C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma}$	$R_{\mu\nu} R^{\mu\nu}$	
$R$			
$\mathbb{1}$			

# Derivative expansion of $\Gamma_k^{\text{grav}}[g]$



# Derivative expansion of $\Gamma_k^{\text{grav}}[g]$

$\vdots$   
 $R^8$   
 $R^7$   
 $R^6$   
 $R^5$   
 $R^4$   
 $R^3$   
 $R^2$   
 $R$   
 $\mathbb{1}$



$$C_{\mu\nu\rho\sigma} W[\Delta] C^{\mu\nu\rho\sigma}$$

$R \Delta R$       + 5 more  
 $R_{\mu\nu} R^{\mu\nu}$

Einstein-Hilbert truncation

$C^2$ -form factor

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- derivative expansion insufficient

derivative expansion  $\implies$  curvature expansion keeping derivatives

A. Codello and O. Zanusso, Math. Phys. **54** (2013) 013513

S. A. Franchino-Viñas, T. de Paula Netto, I. L. Shapiro and O. Zanusso, Phys. Lett. B **790** (2019) 229

# Form Factors

## Structural Aspects

# Form Factors for Gravity (split-symmetry invariant)

2 form factors at second order in the curvature:

$$\Gamma_k^{\text{C}}[g] = \frac{1}{16\pi G_k} \int d^d x \sqrt{g} C_{\mu\nu\rho\sigma} W_k^{\text{C}}(\Delta) C^{\mu\nu\rho\sigma},$$

$$\Gamma_k^{\text{R}}[g] = \frac{1}{16\pi G_k} \int d^d x \sqrt{g} R W_k^{\text{R}}(\Delta) R,$$

enter gravitational propagators in flat space

- working assumption:  $W_k(\Delta)$  has representation as Laplace transform

$$W_k(\Delta) = \int_0^\infty ds \widetilde{W}_k(s) e^{-s\Delta}$$

allows to eliminate a third structure function via

$$\int d^d x \sqrt{g} [R^{\rho\sigma\mu\nu} \Delta^n R_{\rho\sigma\mu\nu} - 4R^{\mu\nu} \Delta^n R_{\mu\nu} + R \Delta^n R] = \mathcal{O}(R^3), n \geq 1$$

- relaxing split symmetry increases the number of form factors

# Form Factors: Gravity plus Scalar Matter

I. split-symmetric case:

- 1 form factor for the kinetic term

$$\Gamma_k^{\text{S,kin}}[\phi, g] = \frac{1}{2} \int d^d x \sqrt{g} \phi f_k^{(\phi\phi)}(\Delta) \phi$$

- 2 form factors at  $\mathcal{O}(R)$ :

$$\Gamma_k^{(\text{R}\phi\phi)}[\phi, g] = \int d^d x \sqrt{g} f_k^{(\text{R}\phi\phi)}(\Delta_1, \Delta_2, \Delta_3) R\phi\phi,$$

$$\Gamma_k^{(\text{Ric}\phi\phi)}[\phi, g] = \int d^d x \sqrt{g} f_k^{(\text{Ric}\phi\phi)}(\Delta_1, \Delta_2, \Delta_3) R^{\mu\nu} (D_\mu D_\nu \phi) \phi.$$

remarks:

- $\Delta_i$  acts on the  $i$ th field
- $f_k^{(\text{R}\phi\phi)}(\Delta_1, \Delta_2, \Delta_3)$ : choice of arguments canonical (mixed derivatives eliminated by partial integration)

# Form Factors: Gravity plus Scalar Matter

II. flat-space vertex expansion:

- 1 form factor for the kinetic term

$$\Gamma_k^{\text{s,kin}}[\phi, g] = \frac{1}{2} \int d^d x \phi f_k^{(\phi\phi)}(\square) \phi$$

- 4 form factors at  $\mathcal{O}(h)$ :

$$\Gamma_k|_{h\phi\phi} = \int d^d x \left[ f_{(\bar{g})}^{(h\phi\phi)} \delta^{\mu\nu} + f_{(11)}^{(h\phi\phi)} \partial_1^\mu \partial_1^\nu + f_{(22)}^{(h\phi\phi)} \partial_2^\mu \partial_2^\nu + f_{(12)}^{(h\phi\phi)} \partial_1^\mu \partial_2^\nu \right] h_{\mu\nu} \phi \phi.$$

Remarks:

- $\partial_i$  acts on the  $i$ th field
- $f_k^{(h\phi\phi)}(\square_1, \square_2, \square_3)$ : choice of arguments canonical (mixed derivatives eliminated by partial integration)

relaxing split-symmetry induces additional form factors



# Form Factors: Gravity plus Scalar Matter

III. the split-symmetry invariant sector of the flat-space vertex expansion:

- vertex form factors must be expressible through 2 functions:

$$f_{(\bar{g})}^{(h\phi\phi)} = \frac{1}{8} \left[ f^{(\phi\phi)}(p_2^2) + f^{(\phi\phi)}(p_3^2) - p_1^2 \frac{f^{(\phi\phi)}(p_2^2) - f^{(\phi\phi)}(p_3^2)}{p_2^2 - p_3^2} \right] + p_1^2 f^{(\text{Ric}\phi\phi)} - \frac{1}{8} (p_1^2 + p_2^2 - p_3^2)^2 f^{(\text{Ric}\phi\phi)},$$

$$f_{(11)}^{(h\phi\phi)} = f^{(\text{Ric}\phi\phi)},$$

$$f_{(22)}^{(h\phi\phi)} = \frac{1}{2} \frac{f^{(\phi\phi)}(p_2^2) - f^{(\phi\phi)}(p_3^2)}{p_2^2 - p_3^2} + \frac{1}{2} p_1^2 f^{(\text{Ric}\phi\phi)},$$

$$f_{(12)}^{(h\phi\phi)} = \frac{1}{2} \frac{f^{(\phi\phi)}(p_2^2) - f^{(\phi\phi)}(p_3^2)}{p_2^2 - p_3^2} + \frac{1}{2} (p_1^2 + p_2^2 - p_3^2) f^{(\text{Ric}\phi\phi)}.$$

structural importance of these identities:

- relations may serve as boundary conditions at  $k = 0$
- identities of this form may fix an infinite number of parameters

# Form Factors

## Computational Aspects

# Flow Equations for Form Factors

approximation of $\Gamma_k$	structure of RG flow	fixed points
finite number of $\mathcal{O}_i$	ODEs	algebraic
field-dependent functions $f(R_1, \dots, R_n; t)$	PDEs ( $n + 1$ var.)	PDEs ( $n$ var.)
momentum-dependent form factors $f(p_1, \dots, p_n; t)$	IDEs ( $n + 1$ var.)	IDEs ( $n$ var.)

- ordinary differential equation (ODE)
- partial differential equation (PDE)
- integro-differential equation (IDE)

# Extracting $n$ -point vertices retaining all derivatives

example: scalar-kinetic term

$$\Gamma_k^{\text{s,kin}}[\phi, g] = \frac{1}{2} \int d^d x \sqrt{g} \phi f_k^{(\phi\phi)}(\Delta) \phi$$

- argument of form factor depends on fluctuation field

$$\Delta \phi \simeq \left[ \square + \underbrace{\mathfrak{d}_1}_{\mathcal{O}(\hbar)} + \underbrace{\mathfrak{d}_2}_{\mathcal{O}(\hbar^2)} + \dots \right] \phi$$

strategy:

- express  $f_k(\Delta)$  through its Laplace transform
- use multi-commutators to keep exact momentum dependence

$$\frac{d}{d\epsilon} e^{X+\epsilon Y} = \sum_{j=0}^{\infty} \frac{1}{(j+1)!} [X + \epsilon Y, Y]_j e^{X+\epsilon Y}$$

where

$$[X, Y]_l \equiv [X, [X, Y]_{l-1}], \quad [X, Y]_0 = Y, \quad l \geq 0 \in \mathbb{N}.$$

# Extracting $n$ -point vertices retaining all derivatives

example: scalar-kinetic term

$$\Gamma_k^{\text{s,kin}}[\phi, g] = \frac{1}{2} \int d^d x \sqrt{g} \phi f_k^{(\phi\phi)}(\Delta) \phi$$

the  $h\phi\phi$ -vertex

$$\begin{aligned} \Gamma_k^{\text{kin}}|_{h\phi\phi} &= \frac{1}{2} \int d^d x \left[ \frac{1}{2} f^{(\phi\phi)}(\square_2) h\phi\phi \right. \\ &\quad \left. + \int_0^\infty ds \tilde{f}^{(\phi\phi)}(s) \sum_{j \geq 0} \frac{(-s)^{j+1}}{(j+1)!} \sum_{l=0}^j \binom{j}{l} (-1)^l (\square^{j-l} \phi) \square_1 \square^l e^{-s \square} \phi \right] \end{aligned}$$

notice: sums and integral transforms can be done analytically

$$\begin{aligned} &\int_0^\infty ds \tilde{f}^{(\phi\phi)}(s) \sum_{j \geq 0} \frac{(-s)^{j+1}}{(j+1)!} \sum_{l=0}^j \binom{j}{l} (-1)^l \square_2^{j-l} \square_3^l e^{-s \square_3} h\phi\phi \\ &= \int_0^\infty ds \tilde{f}^{(\phi\phi)}(s) \sum_{j \geq 0} \frac{(-s)^{j+1}}{(j+1)!} (\square_2 - \square_3)^j e^{-s \square_3} h\phi\phi \\ &= (\square_2 - \square_3)^{-1} \left( f^{(\phi\phi)}(\square_2) - f^{(\phi\phi)}(\square_3) \right) h\phi\phi. \end{aligned}$$

# Computing Form Factors I

## The Gravitational Sector

# The $C^2$ -Form Factor (Conformally Reduced Setting)

ansatz

$$\Gamma_k^{\text{grav}} = \frac{1}{16\pi G_k} \int d^4x \sqrt{g} \left[ 2\Lambda_k - R + C_{\mu\nu\rho\sigma} W_k(\Delta) C^{\mu\nu\rho\sigma} \right]$$

- $W_k(\Delta)$  gives corrections to transverse-traceless propagator

$$\mathcal{G}^{\text{TT}}(q^2) \propto \left( \underbrace{q^2}_{\text{Einstein-Hilbert}} + \underbrace{2(q^2)^2 W_k(q^2)}_{\text{form factor}} \right)^{-1}$$

work in conformally reduced setting:

- considers quantum fluctuations in the conformal factor only

$$g_{\mu\nu} = \left(1 + \frac{1}{4}h\right) \hat{g}_{\mu\nu}$$

- flow of  $w(q^2) \equiv k^{-2} W_k(\Delta/k^2)$  from Wetterich equation

# Flow Equation for the Form Factor

Einstein-Hilbert sector similar to the Einstein-Hilbert truncation

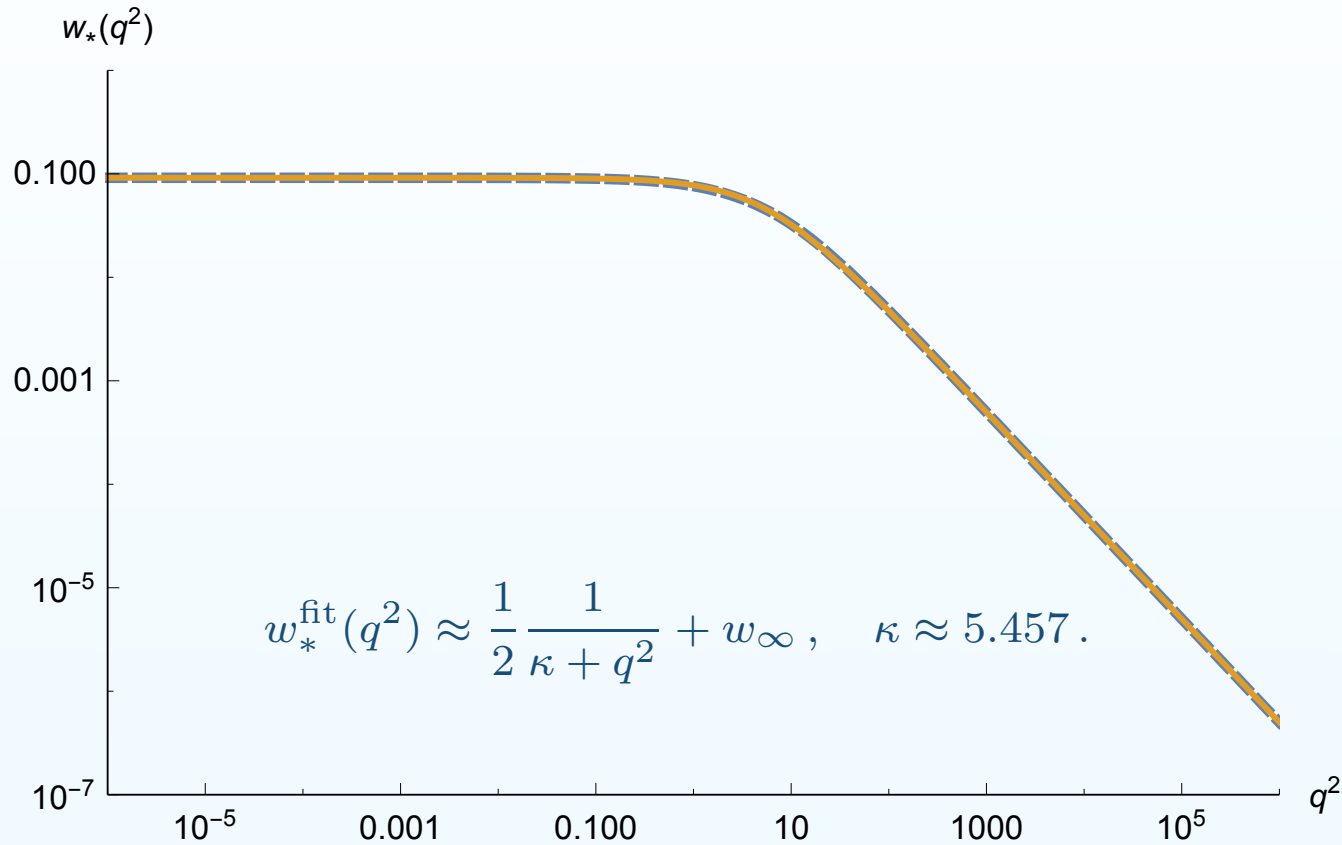
$$\begin{aligned}
 k\partial_k w(q^2) = & (2 + \eta_N)w(q^2) + 2q^2 w'(q^2) \\
 & + \frac{g}{24\pi} \int_0^{\frac{1}{4}} du (1 - 4u)^{\frac{3}{2}} \frac{(2 - \eta_N)R(uq^2) - 2uq^2 R'(uq^2)}{uq^2 + R(uq^2) + \mu} \\
 & + \frac{16g}{3\pi^2} \int_0^\infty dp \int_{-1}^1 dx p^3 \sqrt{1 - x^2} \frac{(2 - \eta_N)R(p^2) - 2p^2 R'(p^2)}{(p^2 + R(p^2) + \mu)^2} \\
 & \left[ \frac{1}{8} (w(p^2 + 2pqx + q^2) - w(q^2)) \right. \\
 & + \frac{2q^4 + 4(q^2 - p^2)(pqx) + p^2 q^2 (7 - 6x^2)}{16(p^2 + 2pqx)^2} (w(p^2 + 2pqx + q^2) - w(q^2)) \\
 & \left. + \frac{3p^4 - 2q^4 + 22p^2(pqx) - 5p^2 q^2 (1 - 6x^2)}{16(p^2 + 2pqx)} w'(q^2) \right].
 \end{aligned}$$

- inhomogeneous term  $\implies$  form factor is induced
- integro-differential equation requires knowing  $w(x)$  on positive real axis



# The Form Factor

solving fixed point equation with pseudo-spectral methods:



- $w_\infty$  undetermined constant (lifted in full computation)
- expansion:  $w_*^{\text{fit}}(q^2)$  is an infinite power series in  $q^2$   
 $\implies$  avoids Ostrogradski instability

# Computing Form Factors II

## The Scalar Kinetic Term

# The Scalar-Kinetic Form Factor

ansatz

$$\Gamma_k[g, \phi, \bar{c}, c; \bar{g}] \approx \Gamma_k^{\text{grav}}[g] + \Gamma_k^{\text{scalar}}[\phi, g] + \Gamma_k^{\text{gf}}[g; \bar{g}] + S^{\text{gh}}[g, \bar{c}, c; \bar{g}].$$

- gravitational sector:

$$\Gamma_k^{\text{grav}}[g] = \frac{1}{16\pi G_k} \int d^d x \sqrt{g} [2\Lambda_k - R]$$

- supplemented by harmonic gauge

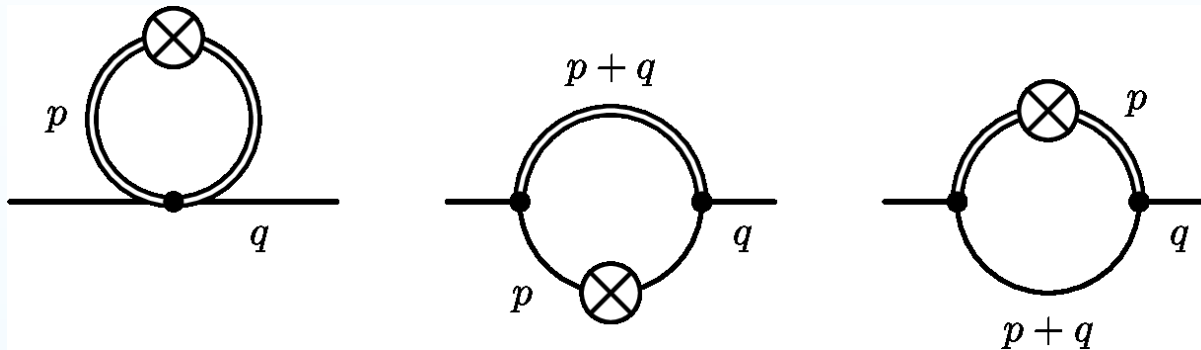
- scalar sector:

$$\Gamma_k^{\text{scalar}}[\phi, g] = \frac{1}{2} Z_k^s \int d^d x \sqrt{g} \phi \bar{f}_k(\Delta) \phi$$

- $Z_k^s$ : momentum-independent wave-function renormalization
- $\bar{f}_k(\Delta)$ : dimensionful form factor

# Flow Equation for the Scalar Form Factor

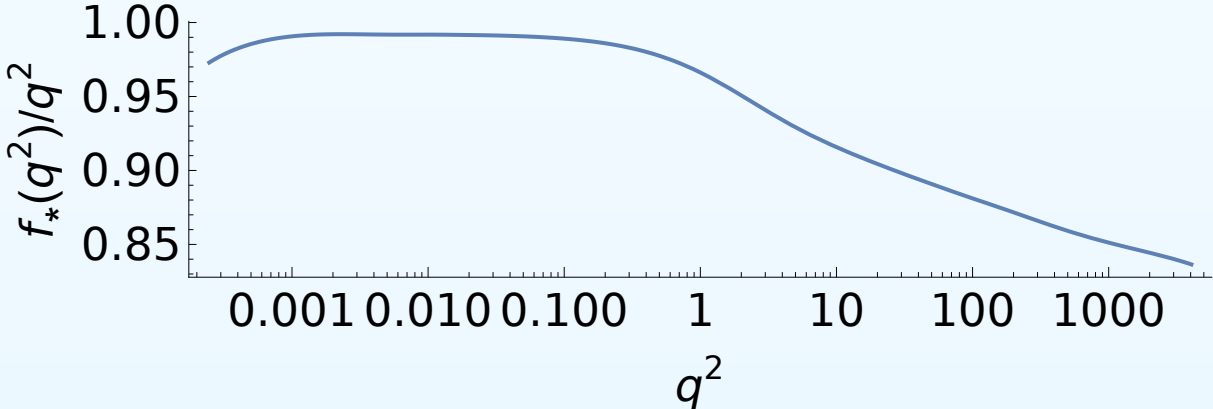
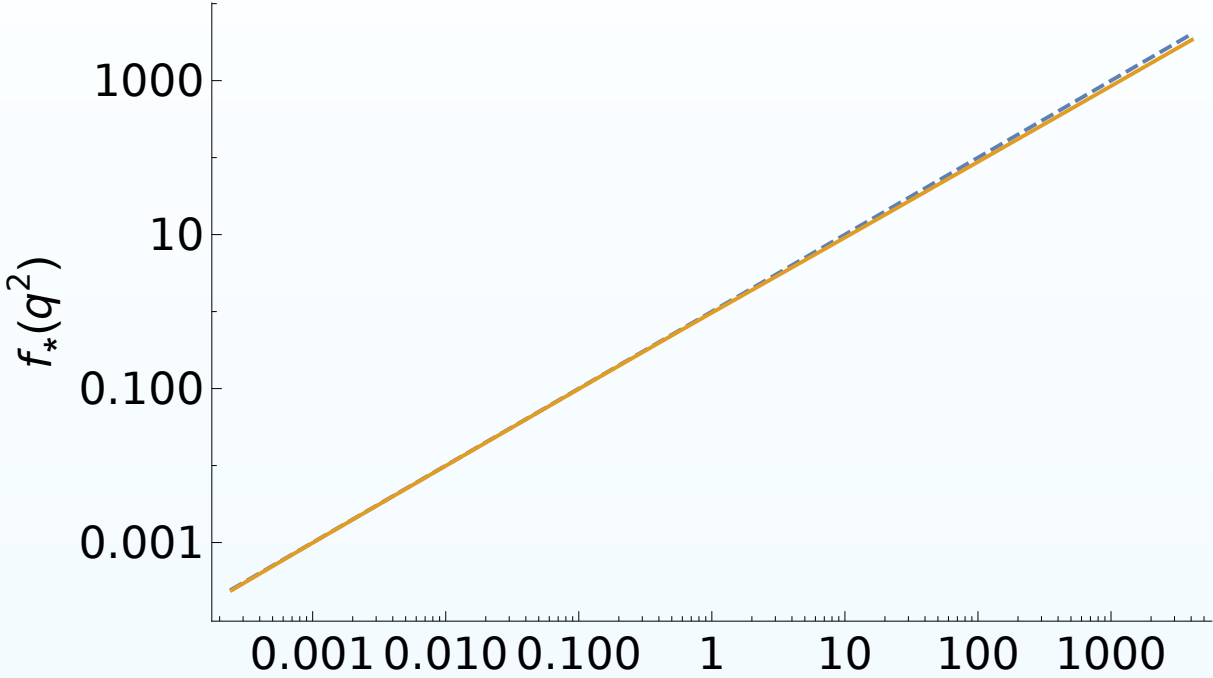
momentum-dependence of the scalar 2-point function



integro-differential equation encoding the fixed point structure of  $f_*(p^2)$

- non-linear in  $f_*(p^2)$
- inhomogeneous:  $f_*(p^2)$  is generated by quantum fluctuations
- non-trivial:  $f_*(p^2) \propto p^2$  is not a solution
- form-factor feeds back into the Einstein-Hilbert sector

# The Scalar-Kinetic Form Factor at the NGFP



# Properties of the Form Factor Solution

stability analysis

- minimally coupled scalar field ( $Z_k^s = 1, \bar{f}_k(\Delta) = \Delta$ )

$$\theta_{1,2} = 1.64 \pm 4.12i$$

- including the Form Factor ( $\theta_3$  associated with gap parameter)

$$\theta_{1,2} = 1.64 \pm 4.14i, \quad \theta_3 = 1.16$$

asymptotic scaling for large momentum

$$\lim_{p^2 \rightarrow \infty} G_*^s(p^2) \propto \frac{1}{p^{2\alpha}}, \quad \alpha = 0.949$$

- short-distance asymptotics of the scalar two-point correlator:

$$\langle \phi(x)\phi(y) \rangle \simeq \frac{1}{|x-y|^{2\Delta}}, \quad \Delta = (2 - \alpha) = 1.051$$

compatible with unitarity bound  $\Delta \geq \Delta_{\min} = 1$

# Properties of the Form Factor Solution

reference	$\eta_*^s$	$\Delta$
Dona, Eichhorn, Percacci [1311.2898]	-0.361	—
Meibohm, Pawlowski, Reichert [1510.07018]	0	—
Becker, Ripken, Saueressig [1709.09098]	-0.771	—
full form factor	-0.176	1.051

gravity-scalar NGFP is gravity dominated

## Application

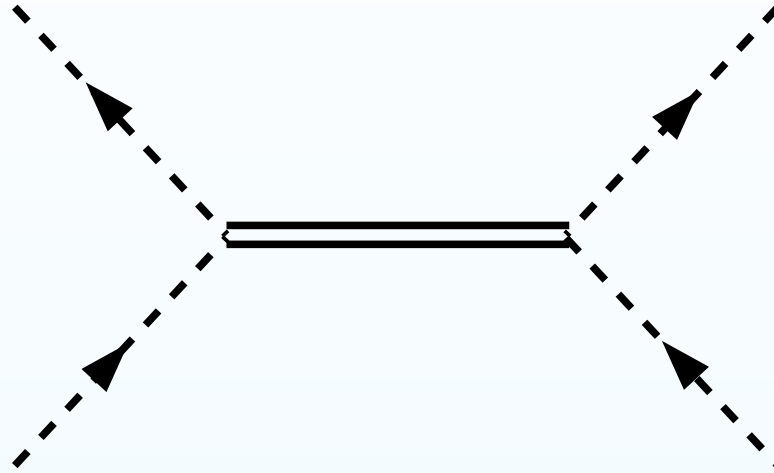
# The Newtonian Gravitational Potential



# Newtonian Gravitational Potential from Field Theory

Phys. Rev. D50 (1994) 3874

non-relativistic graviton-mediated interaction of two scalar fields: (masses  $m_1, m_2$ ):



$$V(\mathbf{r}) = -\frac{1}{2m_1} \frac{1}{2m_2} \int \frac{d^3\mathbf{q}}{(2\pi)^3} e^{i\mathbf{q}\cdot\mathbf{r}} \mathcal{M} = -\frac{G m_1 m_2}{r}$$

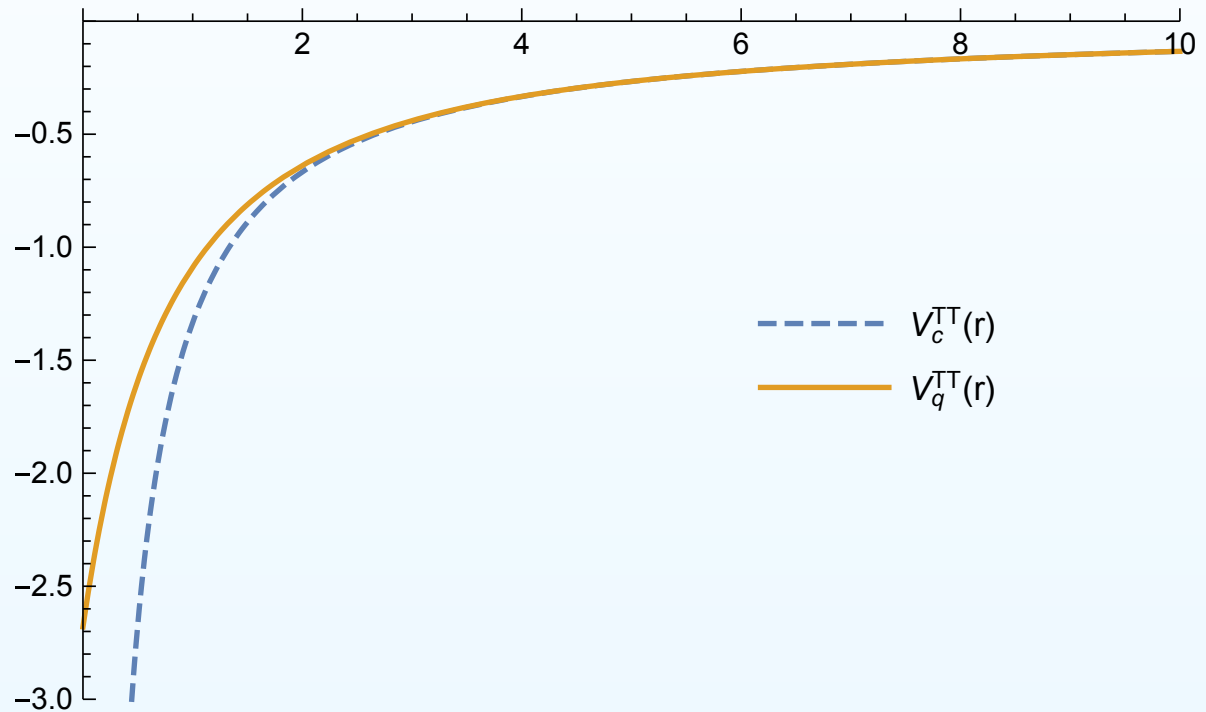
classical scattering amplitude ( $q = (0, \mathbf{q})$ )

$$\mathcal{M} = 16\pi G m_1^2 m_2^2 \mathcal{G}(\mathbf{q}^2) \quad , \quad \mathcal{G}_{\text{classical}}(\mathbf{q}^2) = \underbrace{\mathbf{q}^{-2}}_{\text{Einstein-Hilbert}}$$

# The Quantum-Corrected Newtonian Potential

Strategy:

- restrict scattering amplitude to transverse-traceless contribution
- replace  $\mathcal{G}_{\text{classical}}^{\text{TT}}(\mathbf{q}^2) \implies \mathcal{G}_{\text{non-perturbative}}^{\text{TT}}(\mathbf{q}^2)$



$V_{\text{quantum}}^{\text{TT}}(\mathbf{r})$  remains finite as  $r \rightarrow 0$

# Black Hole Singularities - A Wild Speculation

Schwarzschild solution in terms of  $V_c(\mathbf{r})$ :

$$ds^2 = - (1 - 2V_c(r)) dt^2 + (1 - V_c(r))^{-1} dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2)$$

improve by substituting

$$V_c(r) \implies V_q(r) \simeq \alpha_1 + \alpha_2 r + \dots$$

modifies the curvature singularity at  $r = 0$ !

- curvature singularity still present but integrable
- geodesics can pass through  $r = 0$

form factors may be closely related to singularity resolution

# Conclusions

# Take-away Messages

form factors:

- highly relevant for the dynamics
- we have the technology to compute them self-consistently

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no propagators contain unitarity-violating poltergeists

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**!!! WORK AHEAD !!!**

