

Spectroscopy of the Reuter Fixed Point from the composite operator formalism

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W. Houthoff, A. Kurov, F.S., arXiv:2002.00256

A. Kurov, F.S., arXiv:2003.07454

Outline

- Asymptotic Safety and the Functional Renormalization Group
- composite operator formalism
- results I: scaling of geometric operators
- results II: spectroscopy of the Reuter fixed point
- concluding thoughts

Asymptotic Safety in a nutshell

Asymptotic Safety: the arena

asymptotic safety = effective field theory + predictive power

- **theory** \Leftarrow specify
 - a) field content (e.g. graviton)
 - b) symmetries (e.g. coordinate transformations)

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 - coordinates: couplings $\{g_i\}$ (e.g. G_N, Λ, \dots)

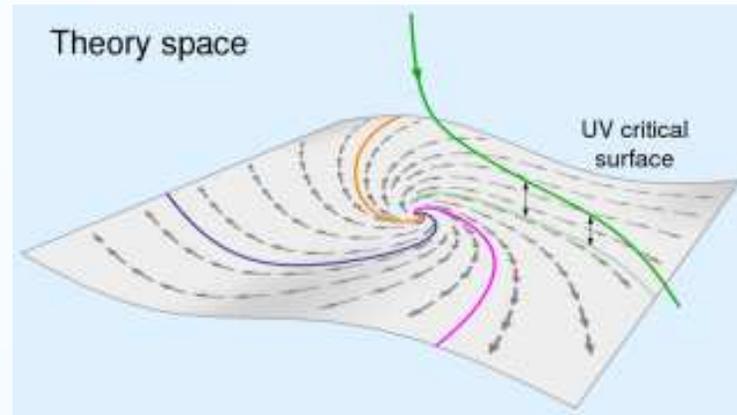
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 - coordinates: couplings $\{g_i\}$ (e.g. G_N, Λ, \dots)
- **Wilsonian renormalization group flow:**
 - couplings run when integrating out quantum fluctuations at scale k
(e.g. $k \partial_k g_i = \beta_i(g_i)$)

UV-completion of a quantum field theory

high energy behavior controlled by renormalization group fixed point



[scholarpedia '13]

- 2 classes of renormalization group trajectories:
 - relevant = end at fixed point in UV
 - irrelevant = go somewhere else...
- theory ending at the fixed point is free of unphysical UV divergences
- predictive power:
 - number of relevant directions \iff free parameters (experimental input)

asymptotic freedom and asymptotic safety

Renormalization via UV fixed points \implies two classes of renormalizable QFTs

- Gaussian Fixed Point (asymptotic freedom)
 - fundamental theory: free
 - **critical exponents: classical power counting**
- non-Gaussian Fixed Point (asymptotic safety)
 - fundamental theory: interacting
 - **critical exponents: classical power counting + quantum corrections**

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gravitational asymptotic safety program

gravity in $d = 4$ is controlled by NGFP (Reuter fixed point)

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RG flow ends with a **effective action compatible with observations** (falsifiability)

- tests of general relativity
 - solar system tests, cosmological signatures, gravitational waves, . . .
- compatibility with standard model of particle physics at 1 TeV

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Structural demands

- resolution of spacetime singularities
- unitarity

Exploring Asymptotic Safety via Functional Renormalization

C. Wetterich, Phys. Lett. B301 (1993) 90

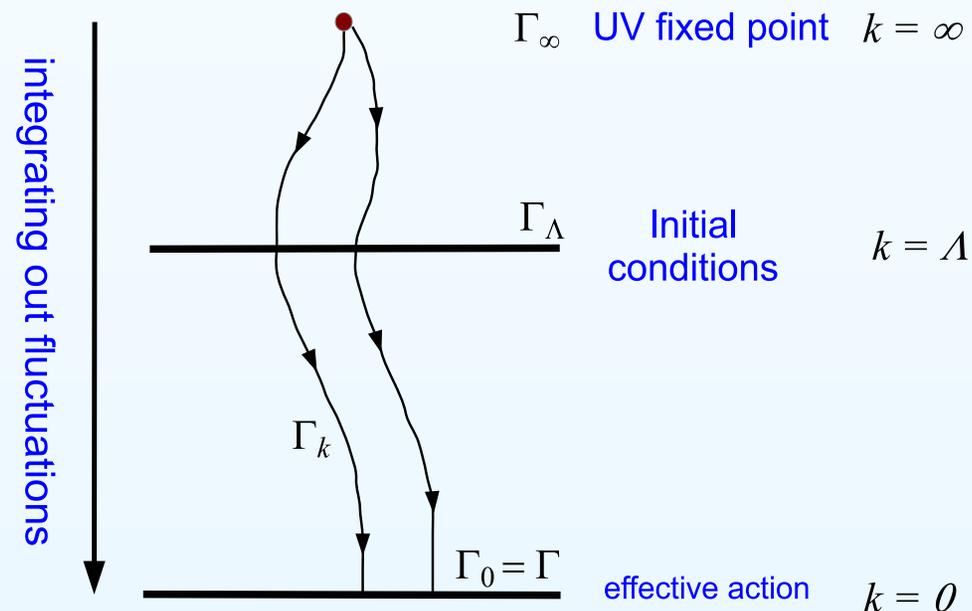
T. Morris, Int. J. Mod. Phys. A9 (1994) 24110

M. Reuter, Phys. Rev. D 57 (1998) 971

idea: integrate out quantum fluctuations shell-by-shell in momentum-space

implementation: Wetterich equation for effective average action Γ_k :

$$k\partial_k\Gamma_k[h_{\mu\nu}, \bar{g}_{\mu\nu}] = \frac{1}{2}\text{Tr} \left[\left(\Gamma_k^{(2)} + \mathcal{R}_k \right)^{-1} k\partial_k \mathcal{R}_k \right]$$



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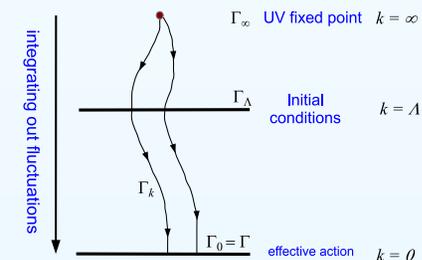
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- realizes Wilson's idea of renormalization
- does not require specifying a “fundamental action”
 - fixed points come as solutions of the equation
- the effective action Γ is recovered for $k = 0$



Constructing approximate solutions of the flow equation

Ansatz for Γ_k restricting to a subset of all monomials

$$\Gamma_k[h_{\mu\nu}; \bar{g}_{\mu\nu}] = \sum_{i=1}^N \bar{u}_i(k) \mathcal{O}_i[h_{\mu\nu}; \bar{g}_{\mu\nu}]$$

\implies projection of flow onto ansatz gives β -functions for $\bar{u}_i(k)$

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systematic expansion schemes (choosing a basis $\{\mathcal{O}_i\}$):

- geometry focused:

$$\Gamma_k[h_{\mu\nu}; \bar{g}_{\mu\nu}] = \frac{1}{16\pi G_k} \int d^4x \sqrt{g} [2\Lambda_k - R] + \dots$$

- focus on correlation functions of the fluctuation fields:

$$\Gamma_k[h_{\mu\nu}; \bar{g}_{\mu\nu}] = \sum_{n=1} \left(\prod_{i=1}^n \int d^4x_i \sqrt{\bar{g}} \right) \Gamma_k^{(n)}[\bar{g}_{\mu\nu}] h_{\mu_1\nu_1} \times \dots \times h_{\mu_n\nu_n}$$

Asymptotic Safety: contemporary misconceptions

[B. Knorr, C. Ripken, F.S., arXiv:1907.02903]

[J. F. Donoghue, arXiv:1911.02967]

[T. Draper, B. Knorr, C. Ripken, F.S., in preparation]

Is Newton's coupling momentum-dependent?

- physics comes from the effective action $\Gamma \equiv \Gamma_{k=0}$

$$\Gamma = \frac{1}{16\pi G} \int d^4x \sqrt{g} [2\Lambda - R + C_{\mu\nu\rho\sigma} W^C(\Delta) C^{\mu\nu\rho\sigma} - R W^R(\Delta) R] + \mathcal{O}(R^3)$$

- the “renormalized” G and Λ are numbers without momentum dependence
- momentum-dependent corrections are provided by form factors

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- the “renormalized” G and Λ are numbers without momentum dependence
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Constructing $G(E)$ from different scattering amplitudes leads to inconsistencies:

- The energy-dependence must be attributed to form factors
- Different amplitudes depend on different combinations of form factors
 \implies no inconsistency

Open Questions

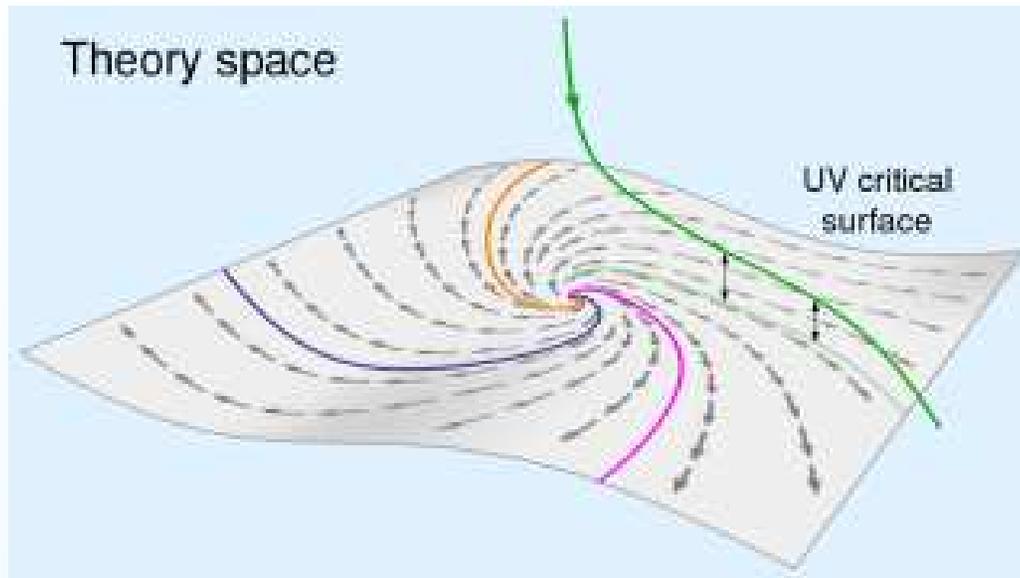
- number of free parameters?
- exact matter content supporting asymptotic safety?
- degrees of freedom associated with the NGFP?
- low-energy physics compatible with observations?
- unitarity?
- Asymptotic Safety with Lorentzian signature metrics?
- characterization of the quantum geometry associated with NGFP?

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RG flow at the Reuter fixed point

Relevant parameters of a NGFP



strategy:

- linearize flow at the fixed point
- study spectrum of the stability matrix
 - eigenvalues with $\text{Re}(\lambda) < 0$: free parameter
 - eigenvalues with $\text{Re}(\lambda) > 0$: prediction for a coupling

Relevant parameters of a NGFP: practical search

project Wetterich equation on ansatz for Γ_k containing couplings $\{u_n\}$

↓ obtain beta functions

$$k\partial_k u_n = \beta_{u_n}(\{u_m\})$$

↓ identify fixed points

$$\beta_{u_n}(\{u_m\}) \Big|_{u=u^*} = 0, \quad \forall n$$

↓ determine eigenvalues $\{\lambda_n\}$ of the stability matrix

$$B_{nm} \equiv \frac{\partial \beta_{u_m}}{\partial u_n} \Big|_{u=u^*}$$

- spectrum is invariant under redefining coordinates

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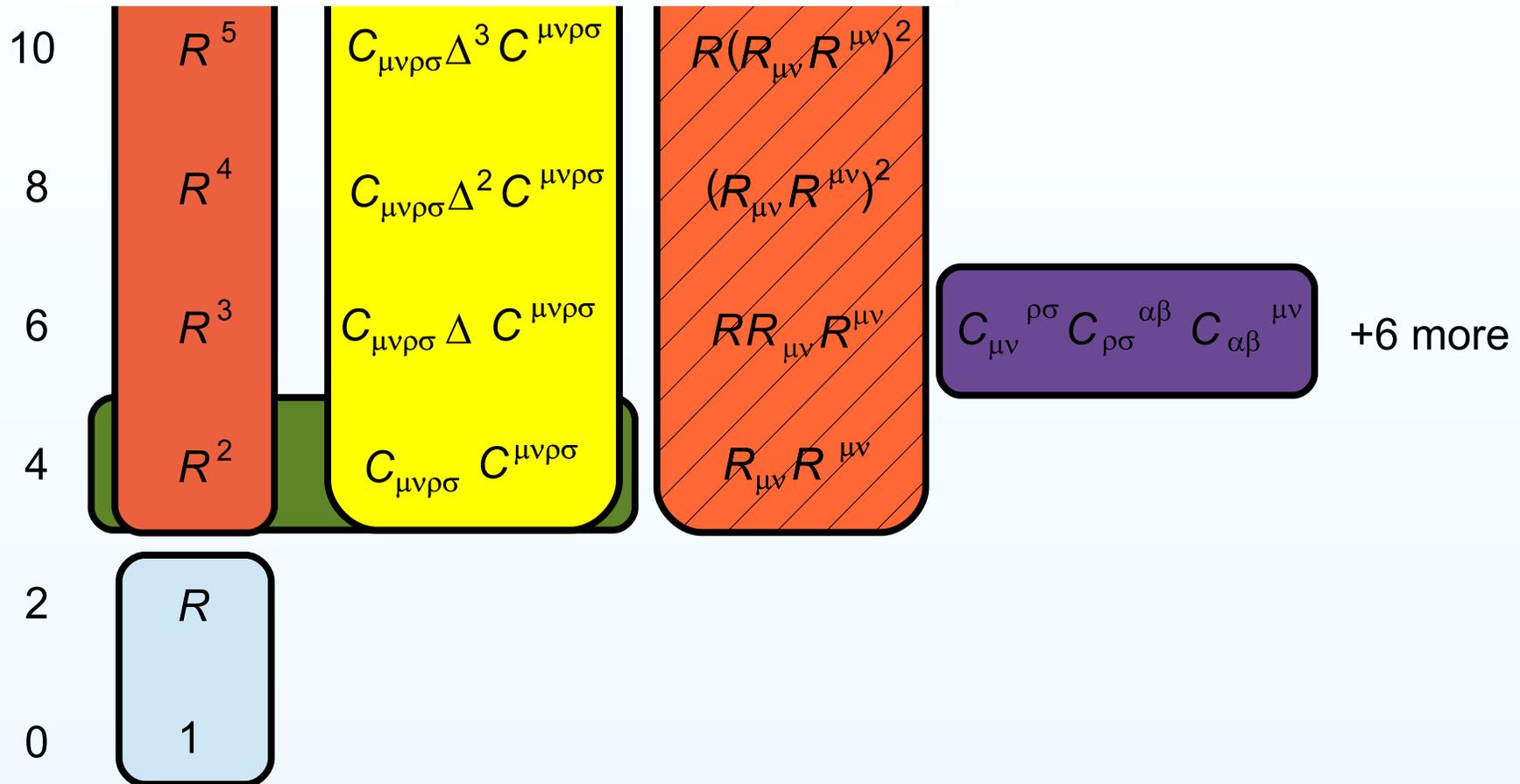
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complications:

- computations get technically involved quickly
- spurious fixed point solutions

derivative expansion of Γ_k : FKWC-basis

derivatives



derivative expansion of Γ_k : FKWC-basis

derivatives

10	R^5	$C_{\mu\nu\rho\sigma}\Delta^3 C^{\mu\nu\rho\sigma}$	$R(R_{\mu\nu}R^{\mu\nu})^2$	
8	R^4	$C_{\mu\nu\rho\sigma}\Delta^2 C^{\mu\nu\rho\sigma}$	$(R_{\mu\nu}R^{\mu\nu})^2$	
6	R^3	$C_{\mu\nu\rho\sigma}\Delta C^{\mu\nu\rho\sigma}$	$RR_{\mu\nu}R^{\mu\nu}$	$C_{\mu\nu}{}^{\rho\sigma}C_{\rho\sigma}{}^{\alpha\beta}C_{\alpha\beta}{}^{\mu\nu}$ +6 more
4	R^2	$C_{\mu\nu\rho\sigma}C^{\mu\nu\rho\sigma}$	$R_{\mu\nu}R^{\mu\nu}$	
2	R			
0	1			

today: limit to spherically symmetric backgrounds

Polynomial expansion of $f(R)$ -gravity

[A. Codello, R. Percacci, C. Rahmede, arXiv:0705.1769]

[P. Machado, F. Saueressig, arXiv:0712.0445]

[K. Falls, D. Litim, J. Schröder, arXiv:1810.08550]

$$\Gamma_k[g, \bar{g}] = \int d^4x \sqrt{g} \sum_{n=0}^N u_n (R/k^2)^n k^4 + \dots$$

- linearized RG flow at NGFP \implies **three** UV relevant directions

N	Re $\lambda_{0,1}$	Im $\lambda_{0,1}$	λ_2	λ_3	λ_4	λ_5
1	-2.38	2.17				
2	-1.26	2.44	-27.0			
3	-2.67	2.26	-2.07	4.42		
4	-2.83	2.42	-1.54	4.28	5.09	
5	-2.57	2.67	-1.73	4.40	3.97 + 4.57i	3.97 - 4.57i

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$N = 71$: for large n , eigenvalues exhibit an “almost Gaussian scaling”

$$\text{Re}(\lambda_n) \approx an - b, \quad a = 2.042, \quad b = 2.91$$

The composite operator formalism

[J. Pawłowski, hep-th/0512261]

[C. Pagani, M. Reuter, arXiv:1611.06522]

[C. Pagani, H. Sonoda, arXiv:1707.09138]

[M. Becker, C. Pagani, O. Zanusso, arXiv:1911.02415]

⋮

composite operator equation

idea: study properties of operators typically not contained in Γ_k

$$\langle \mathcal{O} \rangle = N \int \mathcal{D}\chi \mathcal{O} e^{-S[\chi]} = -\frac{\delta}{\delta\epsilon} N \int \mathcal{D}\chi e^{-S[\chi] - \epsilon \cdot \mathcal{O}}$$

for the effective average action

$$k\partial_k \left(\frac{\delta}{\delta\epsilon} \Gamma_k[\epsilon] \right)_{\epsilon=0} = -\frac{1}{2} \text{Tr} \left[\left(\Gamma_k^{(2)} + \mathcal{R}_k \right)^{-1} \frac{\delta \Gamma_k^{(2)}}{\delta\epsilon} \left(\Gamma_k^{(2)} + \mathcal{R}_k \right)^{-1} k\partial_k \mathcal{R}_k \right]_{\epsilon=0}$$

- scale-dependence of \mathcal{O} determined by a single operator insertion

generalization to sets of operators $\mathcal{O}_n(k) \equiv \sum_m^N Z_{nm}(k) \mathcal{O}_m$:

$$\sum_{m=1}^N \gamma_{nm} \mathcal{O}_m = -\frac{1}{2} \text{Tr} \left[\left(\Gamma_k^{(2)} + \mathcal{R}_k \right)^{-1} \mathcal{O}_n^{(2)} \left(\Gamma_k^{(2)} + \mathcal{R}_k \right)^{-1} \partial_t \mathcal{R}_k \right],$$

- $\gamma_{nm} \equiv (Z^{-1} \partial_t Z)_{nm}$ are generalized anomalous dimensions

Relation between γ_{nm} and the stability matrix

- consider the Wetterich equation for $\Gamma_k = \bar{\Gamma}_k(u_i) + \epsilon \bar{u} \mathcal{O}$
- expansion to first order in ϵ :

$$k\partial_k \bar{u} \mathcal{O} = -\frac{1}{2} \text{Tr} \left[(\bar{\Gamma}_k^{(2)} + \mathcal{R}_k)^{-1} \bar{u} \mathcal{O}^{(2)} (\bar{\Gamma}_k^{(2)} + \mathcal{R}_k)^{-1} k\partial_k \mathcal{R}_k \right] \Big|_{\mathcal{O}}$$

- convert to dimensionless couplings $u = \bar{u} k^{-d_u}$:

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- using the definition of γ_{uu} :

$$k\partial_k u = (\gamma_{uu} - d_u) u = \beta_u(u_i)$$

- obtain the stability matrix by taking a derivative with respect to u :

$$B_{uu} = \underbrace{-d_u}_{\text{classical}} + \underbrace{\gamma_{uu}(u_i)}_{\text{quantum}}$$

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generalization to a set of operators $\{\mathcal{O}_n\}$

$$B_{nm} = -d_n \delta_{nm} + \gamma_{nm}$$

The composite operator formalism

actual computation

input for the composite operator equation

1) choice of operators \mathcal{O}_n :

$$\mathcal{O}_n = \int d^d x \sqrt{g} R^n, \quad n \geq 0 \in \mathbb{N}$$

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3) optional: position of the Reuter fixed point (Einstein-Hilbert truncation)

- harmonic gauge:

$$d = 3 : \quad g^* = 0.199, \quad \lambda^* = 0.063$$

$$d = 4 : \quad g^* = 0.707, \quad \lambda^* = 0.193$$

- geometric Landau gauge:

$$d = 3 : \quad g^* = 0.198, \quad \lambda^* = 0.042$$

$$d = 4 : \quad g^* = 0.911, \quad \lambda^* = 0.160$$

anomalous dimension matrix: general structure

\mathcal{O}_n contains at least R^{n-2} powers of the scalar curvature

$\implies \gamma_{nm}$ has a triangular structure

$$\gamma(g, \lambda; k) = \begin{bmatrix} \gamma_{00} & \gamma_{01} & \gamma_{02} & \gamma_{03} & \gamma_{04} & \gamma_{05} & \gamma_{06} & \cdots \\ \gamma_{10} & \gamma_{11} & \gamma_{12} & \gamma_{13} & \gamma_{14} & \gamma_{15} & \gamma_{16} & \cdots \\ \gamma_{20} & \gamma_{21} & \gamma_{22} & \gamma_{23} & \gamma_{24} & \gamma_{25} & \gamma_{26} & \cdots \\ 0 & \gamma_{31} & \gamma_{32} & \gamma_{33} & \gamma_{34} & \gamma_{35} & \gamma_{36} & \cdots \\ 0 & 0 & \gamma_{42} & \gamma_{43} & \gamma_{44} & \gamma_{45} & \gamma_{46} & \cdots \\ 0 & 0 & 0 & \gamma_{53} & \gamma_{54} & \gamma_{55} & \gamma_{56} & \cdots \end{bmatrix} .$$

- γ is independent of the position of the Reuter fixed point
 \implies assigns a set of anomalous dimensions to any point in the g - λ -plane
- \mathcal{O}_n are not eigenvectors of γ
- γ turns sparse as $N \rightarrow \infty$

Results I: single-operator approximation

select \mathcal{O}_n with n fixed \iff compute diagonal entries γ_{nn} :

$$\gamma_{nn} = \frac{32\pi g}{(4\pi)^{d/2}} [A_0(g, \lambda; d) + A_1(g, \lambda; d) n + A_2(g, \lambda; d) n^2]$$

- second-order polynomial in n
- coefficients A_i are known analytically
- strength of anomalous dimension controlled by g

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explicit expressions at the Reuter fixed point:

- harmonic gauge:

$$d = 3 : \quad \gamma_{nn}^* = 1.59 - 1.51n - 0.12n^2$$

$$d = 4 : \quad \gamma_{nn}^* = 3.99 - 4.73n - 0.10n^2$$

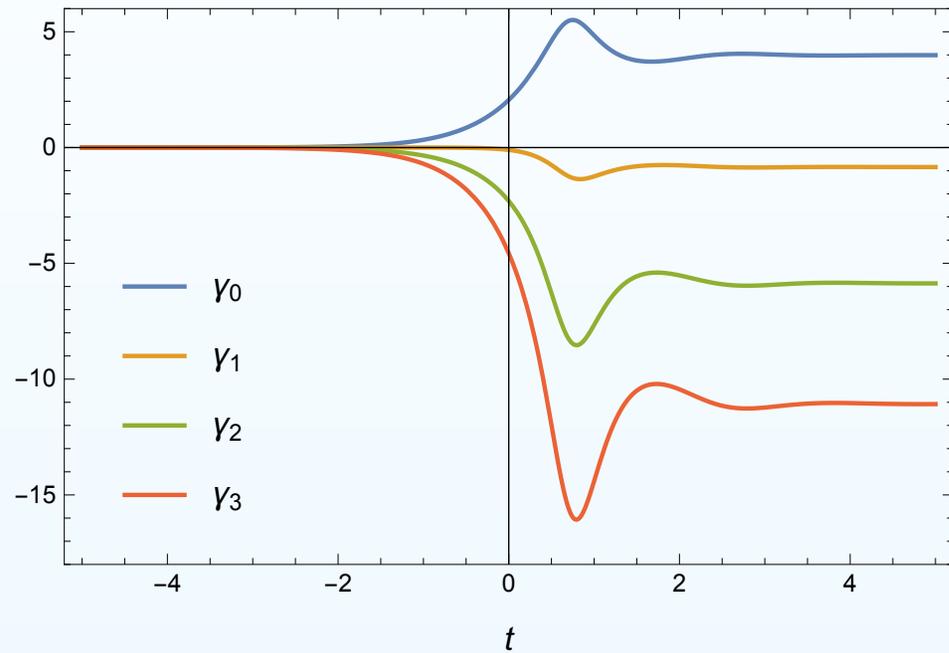
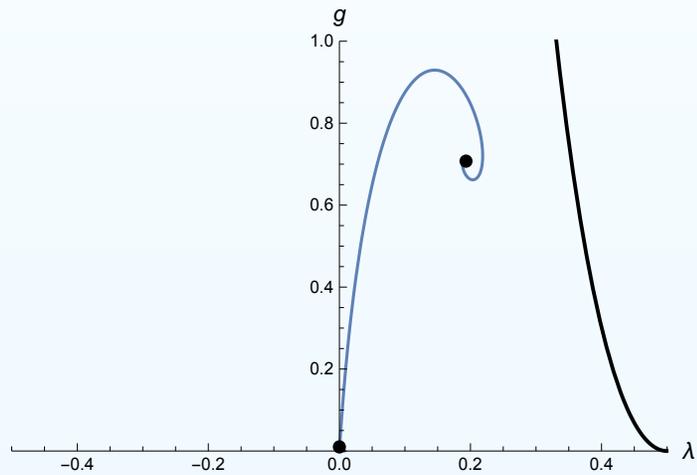
- geometric Landau gauge:

$$d = 3 : \quad \gamma_{nn}^* = 0.65 - 0.87n - 0.03n^2$$

$$d = 4 : \quad \gamma_{nn}^* = 2.30 - 3.77n$$

Results II: full matrix γ_{mn}

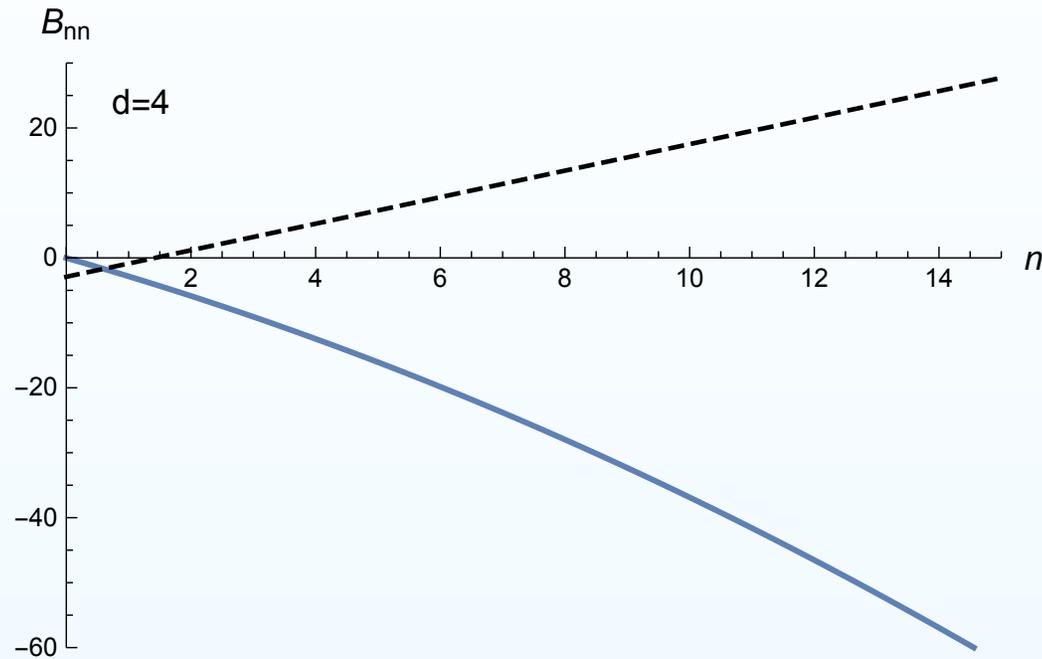
evaluate γ_{nn} along a RG trajectory of Type IIa:



obtain classical geometry at the GFP

Results I: single-operator approximation

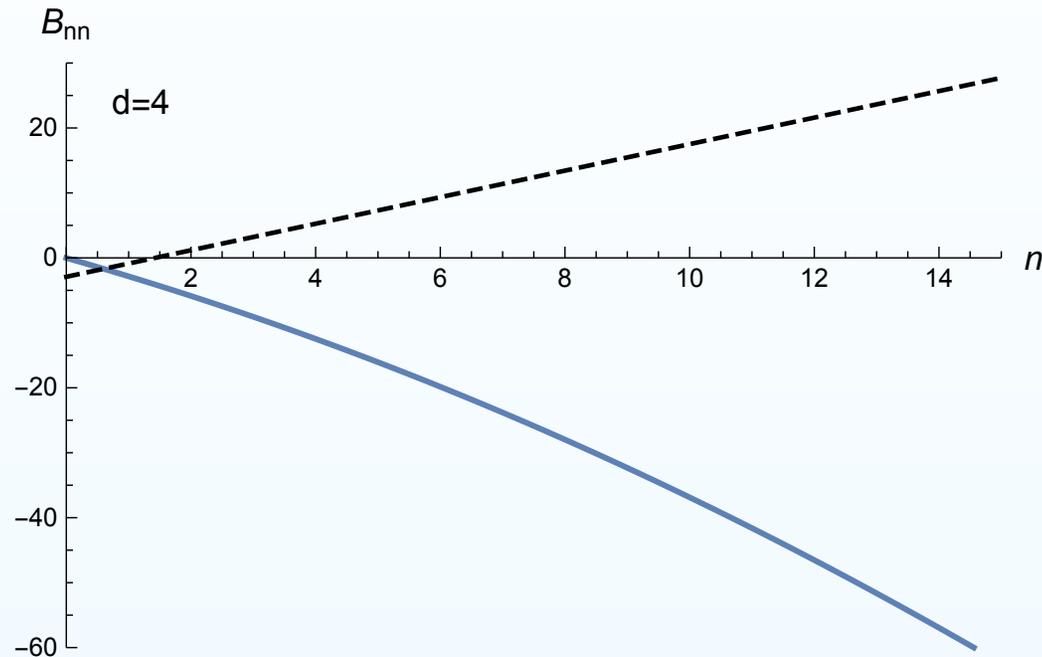
diagonal entries of the stability matrix: $B_{nn} = -d_n + \gamma_{nn}^*$



- all $B_{nn} < 0$
- comparison with $f(R)$ -spectrum (dashed line) fails

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B_{nn} are not the eigenvalues of $B \iff$ off-diagonal terms matter!

The composite operator formalism

the full matrix γ

Results II: the generating functional for γ_{nm}

composite operator equation on a d -sphere background:

$$\sum_m \gamma_{nm} \mathcal{O}_m = \int d^d x \sqrt{g} \underbrace{\Gamma_n(\bar{R})}_{\text{operator traces of Laplacians}}$$

- adapt geometric Landau gauge
- truncated heat-kernel at $O(\bar{R}^2)$

generating functionals can be given explicitly

$$\Gamma_n(\bar{R}) = \frac{16\pi g}{(4\pi)^{d/2}} \left[\sum \text{threshold functions} \right]$$

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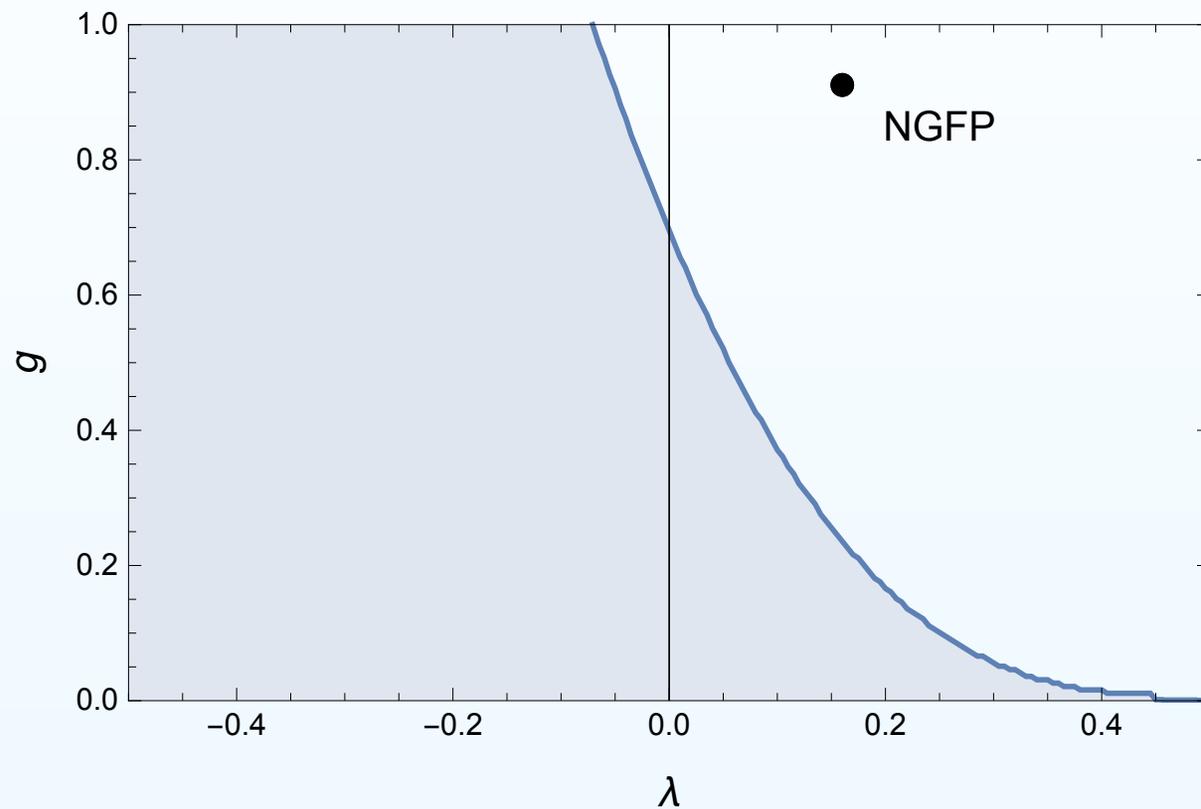
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generating functionals $\Gamma_n(\bar{R})$ encode B_{nm}
study $\text{spec}(B)$ for “large truncations” $N \simeq 100$

Results II: the perturbative region in the g - λ -plane

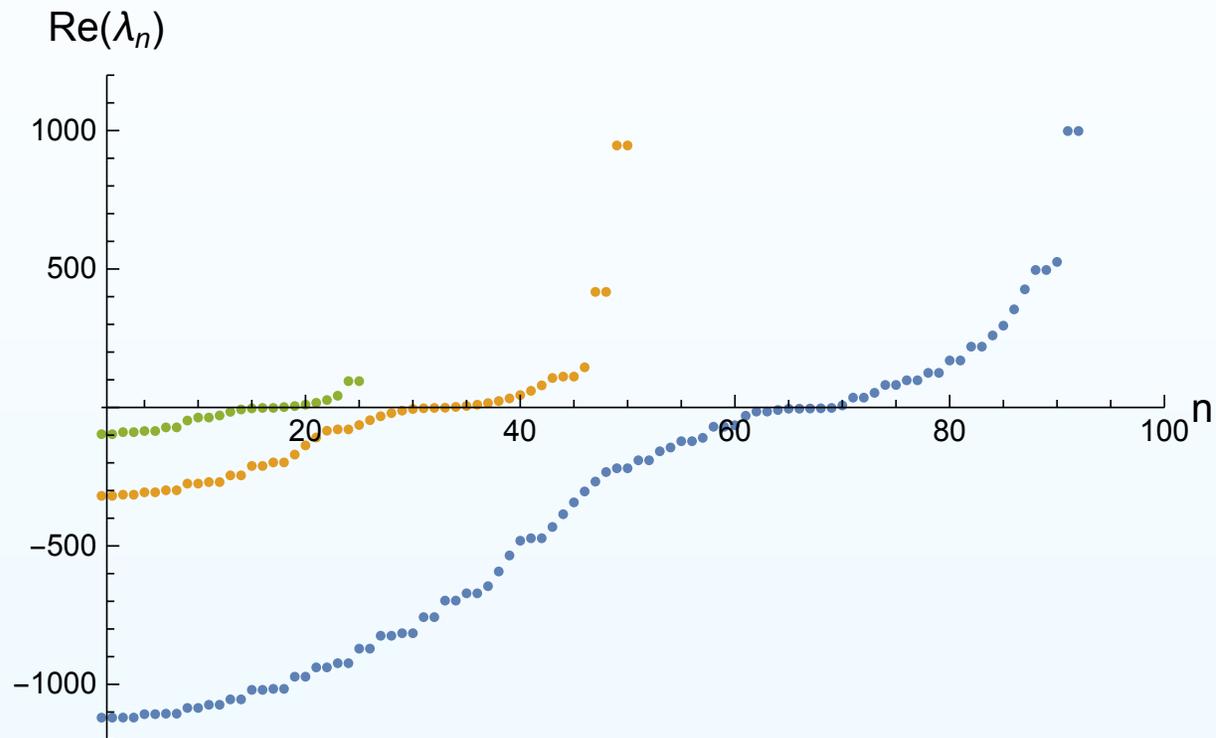
consider $\text{spec}(B)$ for $N = 10$ and $d = 4$:



- shaded region: 3 relevant eigenvalues

Results II: tracing eigenvalues at the Reuter fixed point

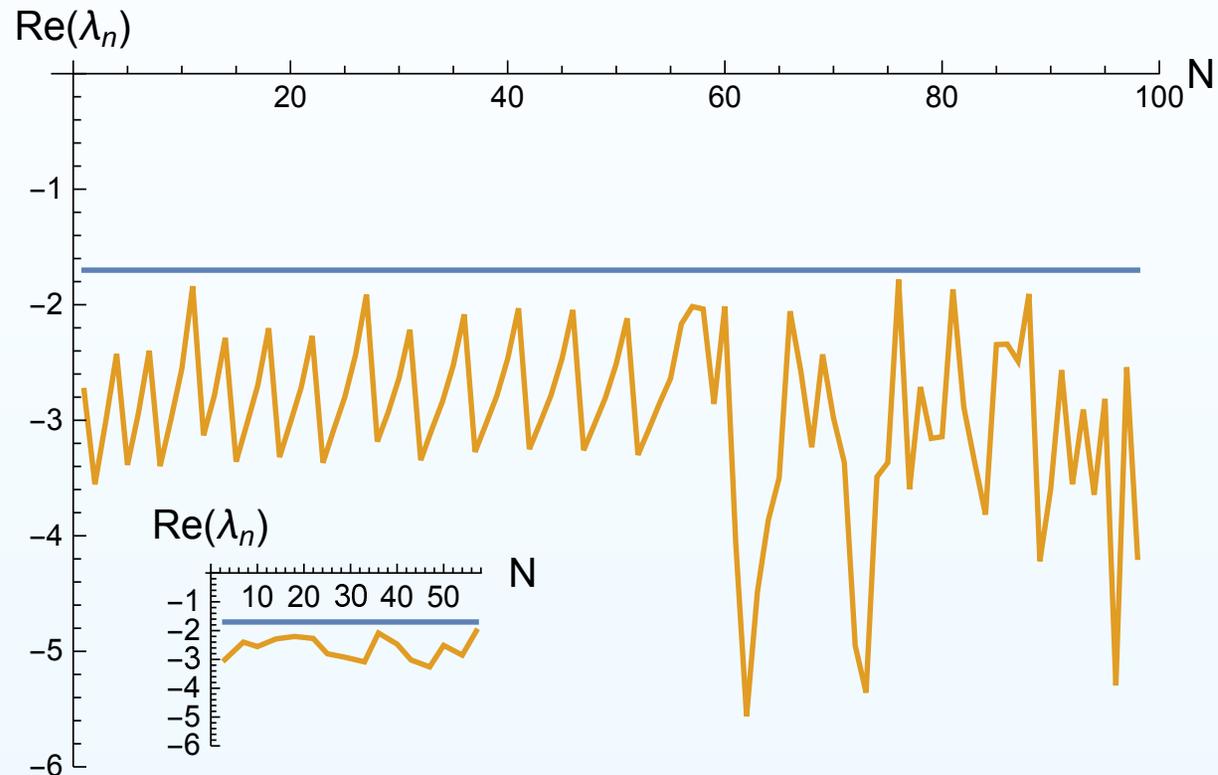
spectrum of B for $N = 25$, $N = 50$, $N = 100$



- the stability matrix is not bounded from below

Results II: tracing eigenvalues at the Reuter fixed point

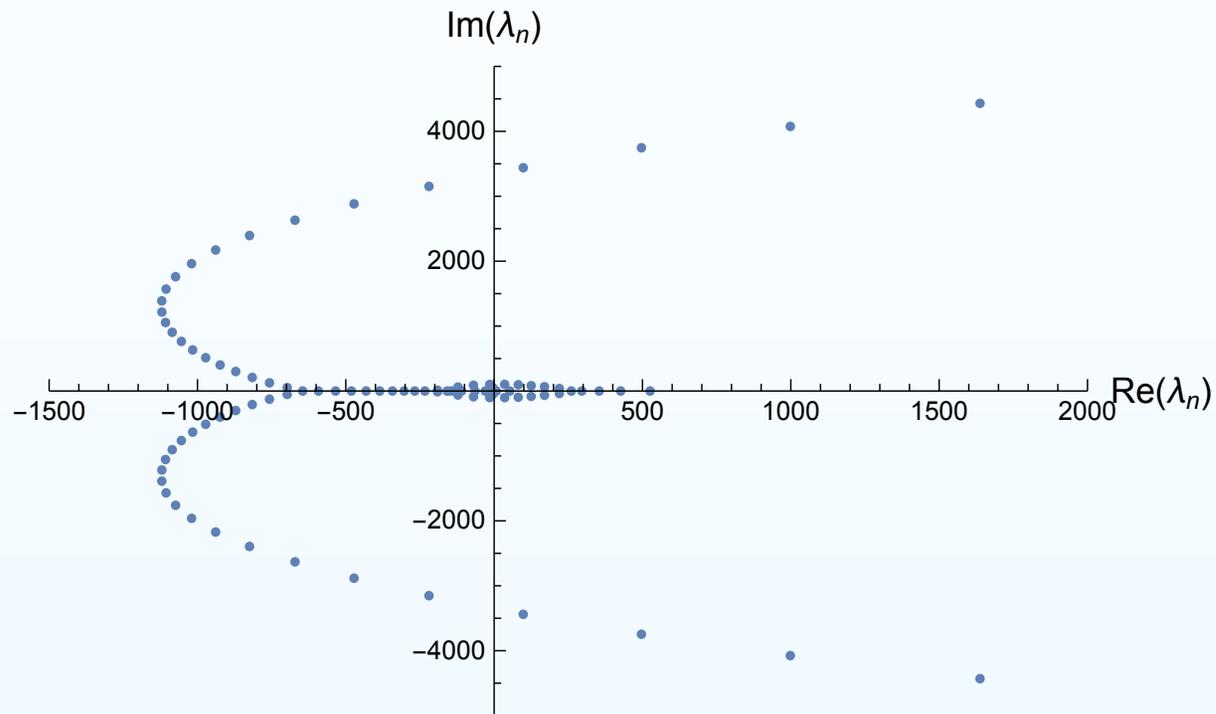
tracing the eigenvalues of $N = 2$ with the matrix size



- no obvious convergence pattern?

Results II: tracing eigenvalues at the Reuter fixed point

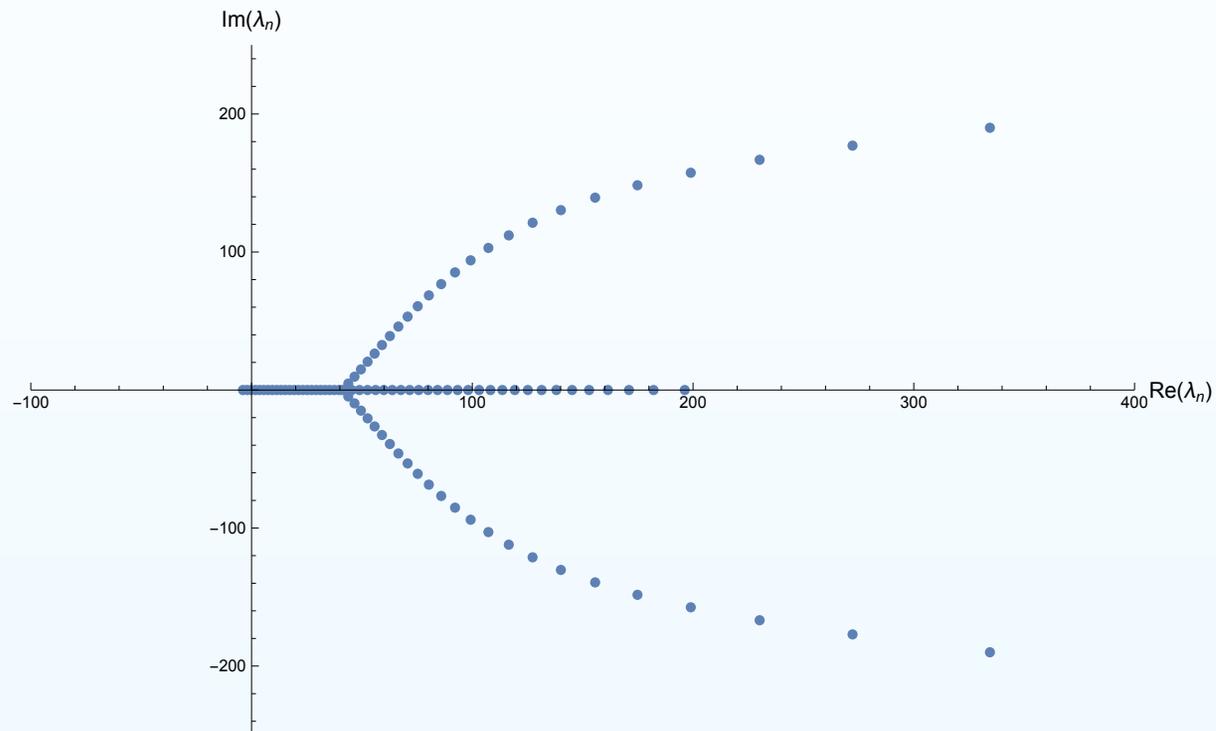
spectrum of B for $N = 100$



- eigenvalues follow distinct curves in the complex plane

Results II: tracing eigenvalues at the Reuter fixed point

spectrum of B for $N = 100$ at $g = 0.1, \lambda = 0$ (perturbative region)



- eigenvalue pattern depends on g, λ
- adding matter allows to shift fixed point position without changing γ_{nm}
 - identify characteristic eigenvalue patterns

Concluding Thoughts

connecting to random geometry

problem: scale k is difficult to access
 \implies use relative scaling of operators

- suppose in the scaling regime $\mathcal{O}_n \propto k^{d_n + \gamma_n}$
- set scale via a reference operator, i.e., \mathcal{O}_0
- express scaling relative to this reference operator

$$\mathcal{O}_n \propto (\mathcal{O}_0)^{\frac{d_n + \gamma_n}{d_0 + \gamma_0}} \iff \tilde{\gamma}_n \equiv \frac{d_n + \gamma_n}{d_0 + \gamma_0}$$

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predictions from the single-operator approximation in $d = 4$:

GFP: $\tilde{\gamma}_1 = 0.5$

NGFP: $\tilde{\gamma}_1 = 0.145$

summary: composite operator formalism

encouraging results . . .

- complementary way to analyze stability properties of NGFPs
- observation: novel convergence patterns in $\text{spec}(B)$
- partial agreement with polynomial $f(R)$ -results

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. . . and plenty to do:

- improve propagators
- consider other composite operators (geodesic distance, . . .)

[C. Pagani, M. Reuter, arXiv:1611.06522]

- other universality classes (Stelle gravity, . . .)

[M. Becker, C. Pagani, O. Zanusso, arXiv:1911.06522]

- classify gravity-matter fixed points according to eigenvalue patterns
- measurements in random geometry (2-dimensions, $2 + 1$ -dimensions, . . .)

Thank you